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Leveraging mobile eye-trackers to capture joint visual attention in co-located collaborative learning groups

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Abstract This paper describes a promising methodology for studying co-located groups: 12mobile eve-trackers. We provide a comprehensive description of our data collection and 13analysis processes so that other researchers can take advantage of this cutting-edge technology. 14 Data were collected in a controlled experiment where 27 student dyads (N = 54) interacted 15with a Tangible User Interface. They first had to define some design principles for optimizing a 16warehouse layout by analyzing a set of Contrasting Cases, and build a small-scale layout based 17on those principles. The contributions of this paper are that: 1) we replicated prior research 18 showing that levels of Joint Visual Attention (JVA) are correlated with collaboration quality 19 across all groups; 2) we then qualitatively analyzed two dyads with high levels of JVA and 20show that it can hide a free-rider effect (Salomon and Globerson 1989); 3) in conducting this 21

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analysis, we additionally developed a new visualization (augmented cross-recurrence graphs)22that allows researchers to distinguish between high JVA groups that have balanced and23unbalanced levels of participations; 4) finally, we generalized this effect to the entire sample24and found a significant negative correlation between dyads' learning gains and unbalanced25levels of participation (as computed from the eye-tracking data). We conclude by discussing26implications for automatically analyzing students' interactions using dual eye-trackers.27

Keywords Joint visual attention · Collaborative learning · Dual eye-tracking

Introduction

Joint visual attention is a prerequisite for virtually all social interactions across cultures and 31ages. This is especially true for tasks that require collaborators to build a shared problem space. 32 This process is sometimes referred to as grounding from a psycho-linguistic perspective (Clark 33 and Wilkes-Gibbs 1986). Building a common ground ensures that collaborators are on the 34 same page and share a common definition of the terms used. While grounding is useful to 35 explain short conversational events, CSCL researchers suggest going beyond this concept for 36 educational contexts and to focus on shared meaning making instead (Stahl 2007). Meaning 37 making is associated with "the increased cognitive-interactional effort involved in the transi-38 tion from learning to understand each other to learning to understand the meanings of the 39 semiotic tools that constitute the mediators of interpersonal interaction" (Baker et al. 1999, 40 p.31). It gradually leads to the construction of new meanings and results in conceptual change. 41 From this perspective, markers of collaborative learning are captured through iterative cycles 42of interactions that converge toward a shared understanding of the concepts taught. 43Researchers in CSCL generally agree that Joint Visual Attention (JVA) plays a central role 44 in supporting students' conceptual convergence (Roschelle 1992). It is worth noting that joint 45attention is associated with many overlapping concepts in the learning sciences and CSCL -46"shared cognition," "intersubjectivity," "grounding processes in conversation," "joint problem-47 solving," and "distributed cognition" (Barron and Roschelle 2009). 48

Traditionally, JVA has been studied through qualitative case studies. But we now have 49 the means to go beyond case-by-case evidence of the importance of JVA for collaborative 50 learning. One way of rigorously studying and quantifying this construct is to leverage new 51 sensing technologies. Eye-trackers are becoming increasingly easier to use and more 52 affordable and they allow researchers to collect large datasets on students' visual processes. In this paper, we show how they can be leveraged to better understand collaborative 54 processes. 55

We report the following contributions to the study of JVA: first, we describe a methodology 56for synchronizing mobile eye-trackers to study co-located interactions. Second, we replicate 57previous results showing that high levels of JVA are significantly correlated with the quality of 58students' interactions. Third, we qualitatively analyze two dyads with high levels of JVA and 5960 high / low learning gains to understand why sometimes joint visual attention does not predict learning. Fourth, we present new ways of visualizing JVA with augmented cross-recurrence 61 graphs to better understand those differences. Finally, we generalize those results to the entire 62sample and describe metrics that capture group members' tendency to *initiate* or *respond* to 63 offers of JVA. We conclude by mentioning limitations of our approach and promising future 64 work in the study of collaborative learning using mobile eye-trackers. 65

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Dual eye-tracking and joint visual attention in the learning sciences

Joint Visual Attention (JVA) is a central construct for any learning scientist interested in 68 collaborative learning. It is "the tendency for social partners to focus on a common reference 69 and to monitor one another's attention to an outside entity, such as an object, person, or event" 70(Tomasello 1995, pp. 86–87). Without the ability to establish joint attention, groups are 71unlikely to establish a common ground, take the perspective of their peers, build on their 72ideas, express some empathy or solve a problem together. Autistic children, for example, are 73 known to have difficulties in coordinating their attention with their caregivers (Mundy et al. 741990), which in turn is associated with major social disabilities. 75

Previous studies in CSCL (Computer-Supported Collaborative Learning) and LS (Learning 76Sciences) have highlighted the importance of joint attention in small groups of students. 77 Barron (2000), for instance, carefully analyzed how groups of students who failed to achieve 78joint attention were more likely to ignore correct proposals and not perform as well as similar 79groups. More generally, there is a large body of evidence showing that JVA is a central 80 mechanism for effective collaboration (e.g., the process of building a shared understanding has 81 been extensively studied by psycholinguists under the name of grounding; Clark 1985). In the 82 Learning Sciences, some have called it partner modelling (Dillenbourg et al. 2016). In 83 education, building a shared understanding is really a mean to an end: that is, how does 84 building a common ground lead to learning? Learning scientists suggest that it is actually the 85 cognitive effort of building a shared understanding that produces learning, not merely the fact 86 of sharing a common ground (Schwartz 1995). Linguists, psychologists and learning scientists 87 all agree that Joint visual attention plays a central role in this process. 88

Over the last two decades, researchers have started to leverage eye-trackers to capture JVA 89 in remote collaborations. Richardson and Dale (2005), for example, found that the degree of 90 gaze recurrence between individual speaker-listener dyads (i.e., the proportion of times that 91their gazes are aligned) was correlated with the listeners' accuracy on comprehension ques-92tions. Jermann et al. (2011) conducted a similar analysis with a dual eye-tracking setup. They 93 used cross-recurrence graphs (described below in Fig. 1) to separate "good" and "bad" 94collaborative learning groups. They found that productive groups exhibited a specific pattern 95(shown in Fig. 1's middle graphs), and that less productive groups produced a fundamentally 96 different collaborative footprint (right graph of Fig. 1). 97



Fig. 1. A cross-recurrence graph reproduced from Jermann et al. (2011). On the left, a schematic graph; in the middle picture, a cross- graph from a productive group; on the right side, from a less productive group

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As a side note, it is worth mentioning that researchers have also started to think about ways 98 to use-eye-trackers to actively support visual coordination in pairs of students. The precursor to 99 this approach is a study by Schneider and Pea (2013), where they used two remote eye-trackers 100to provide students with a gaze awareness tool. They showed that dyads who could see the 101 gaze of their partner in real time outperformed their peers in terms of their learning gains and 102quality of collaboration. This intervention was beneficial to students because they could 103monitor the visual activity of their partner in real time, anticipate their contribution, and 104more easily disambiguate vague utterances. In the same vein, Mason et al. (2015) showed 105that 7th graders who could see a teacher's gaze when reading an illustrated text learn 106significantly more than students who could not. D'Angelo and Begel (2017) designed a gaze 107 05 awareness tool for programmers which shows the snippet of code their partners are looking at. 108They found that their intervention promoted higher levels of joint visual attention, increased 109the proportion of implicit to explicit references, and that participants were faster and more 110successful at responding to them. Finally, Schlösser et al. (2015) found that remote collabo-111 ration during a puzzle task could be improved with a gaze awareness tool compared to no gaze 112 support. They also compared a "gaze cursor" (showing where the partner was looking) and a 113"gaze awareness" condition (highlighting which puzzle piece was currently looked at) and 114 found no difference between them. While this line of work is not directly relevant for the scope 115of this paper, it provides examples showing that we can support collaborative learning through 116dual eye-tracking. 117

There have been very few attempts at using mobile eye-trackers in formal and informal 118 learning environments. Gergle and Clark (2011) pioneered the use of dual eye-tracking for in-119situ interactions: they used large fiducial markers in the environment to capture JVA and 120compared participants who were either sitting or standing. They found significant differences 121in terms of terms of their linguistic and visual coordination. Papavlasopoulou et al. (2017) 122studied "kids" (age 8-12) and "teens" (age 13-17) with mobile eye-trackers and found that 123kids focused more on surface features (e.g., the appearance of the characters), while teens 124tended to show more hypothesis-testing behavior when coding. They did not look at JVA 125specifically, but they measured gaze similarity - for example if pairs of participants had similar 126gaze behaviors (e.g., spatial dispersion). They found that teenagers exhibited higher gaze 127similarity than kids. Finally, Prieto et al. (2014) are working on leveraging mobile eye-tracking 128to capture teachers' cognitive load using a variety of measures (e.g., pupil size). 129

In summary, remote and mobile eye-trackers can provide us with new insights into students' learning processes, and dual eye-tracking setup can help us gain a better understanding of collaborative processes – for example by quantifying joint visual attention. Additionally, some novel work also provides evidence that eye-tracking can be used to design interventions to support communication in remote collaborations. 130

In the next section, we review in greater detail how researchers have been making sense of 135 dual eye-tracking data, more specifically by generating *cross-recurrence graphs*. We describe 136 them in detail here, because this paper builds upon (and extends) this methodology when 137 analyzing dual eye-tracking data. 138

Dual eye-tracking settings and cross-recurrence graphs

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Previous work done by Jermann and his colleagues (2011) used cross-recurrence graphs to 140 make sense of dual eye-tracking datasets. A cross recurrence graph (shown on Fig. 1) is a 141 visual representation of a dyad's visual coordination: the x-axis represents time for the first 142

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participant, the y-axis represents time for the second participant, and black pixels show 143 moments of joint attention (for a given time slice and distance between two gazes). Thus, a 144 black diagonal signifies that the dyad was continuously looking at the same area of interest 145(e.g., the middle graph in Fig. 1); black squares outside the diagonal mean that both 146participants looked at the same location, but not at the same time; the absence of a dark 147diagonal means an absence of joint visual attention (e.g., the right graph on Fig. 1). In 148other words, good quality interaction exhibits higher recurrence rates of JVA compared to 149low quality interaction. This difference can be detected visually using cross-recurrence 150graphs. 151

We use a similar methodology in this paper, with three new contributions: first, the data 152comes from a study that looked at co-located interactions. We captured students' gazes using 153two mobile eye-trackers, whereas prior work has almost exclusively looked at remote inter-154actions (i.e., where students were looking at two different computer screens). This develop-155ment is a significant improvement in terms of ecological validity, because so much of 156collaborative learning is among co-located individuals. Second, we augmented cross-157recurrence graphs with speech data and spatial information to uncover students' visual 158attention when working on a problem-solving task. This provided us with compelling visual-159izations that guided our qualitative analysis. Third, we contrasted two groups that each 160exhibited high levels of joint visual attention (in contrast with comparing a productive versus 161 a non-productive group, as Jermann and his colleague did). Our goal is to illustrate the 162multitude of ways that students use to successfully establish joint visual attention. We found 163that dual eye-tracking datasets can uncover particular types of collaboration dynamics. Finally, 164we extended qualitative observations to the entire sample and found that dyads' extent of 165participation imbalance (computed from moments of joint visual attention) was negatively 166correlated with learning gains. 167

In the section below, we describe our study and the data it generated. It should be noted that 168 the main contribution of this paper is not the experiment itself (which was published elsewhere 169 – see Schneider et al. 2016). The main contributions of this paper are the analyses of the eyetracking data and the kinds of insights which were then generated. We describe the original 171 study in more detail below. 172

General description of the experiment

The goal of the study was to conduct an empirical evaluation of a Tangible User 174Interface (TUI) designed for students following a vocational training in logistics. The 175system used in this study, the TinkerLamp (Fig. 4) features small-scale shelves that 176students can manipulate to design a warehouse layout. A camera detects their location 177using fiducial markers and a projector enhances the physical layout with an augmented 178reality layer. The original study compared the affordances of 2D, abstract-looking 179interfaces (Fig. 3, left side) with 3D, realistic-looking interfaces (Fig. 3, right side) and 180compared its effect across three levels of expertise (1st year, 2nd year and 3rd year 181students). See the following paper for more information (Schneider et al. 2016). In this 182paper, we will *not* focus on the effect of the two experimental conditions and students' 183expertise. Instead, we aggregate the data across all groups and search for general markers 184of productive interactions regardless of the interface that students interacted with (2D or 1853D) or their prior knowledge. 186

16 s-year, and 22 third-year (N = 54).

Method

Participants

Procedure

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The general description of the experiment is shown on Fig. 2 above. The activity lasted an hour 195and the goal for students was to uncover good design principles for organizing warehouses. 196The core of this reflection involved understanding the trade-off between the amount of 197 merchandise that can be stored in a warehouse and how quickly one can bring an item to a 198loading dock (i.e., a lot of shelves make it difficult to efficiently load/unload items, while few 199shelves limit the size of available stock). To help students understand this relationship, we 200followed the Preparing for Future Learning framework (Bransford and Schwartz 1999). We 201designed a set of contrasting cases (Fig. 3, first row) and asked students to analyze three 202layouts based on the following criteria: in which warehouse they would prefer to work on a 203daily basis (prompt 1); which warehouse maximized space (prompt 2); and finally, which 204warehouse minimized the distance from each shelf to the docks (prompt 3). At the end, the 205experimenter revealed the numbers for those two metrics (referred to as "reveal" on Fig. 2). 206The contrasting cases were set up to highlight good design principles (e.g., orienting the 207shelves toward the docks makes them more accessible, placing them back to back so that only 208one side is accessible frees up space, and so on). Students were asked to use those principles in 209the next activity (Fig. 2, second row), where they built two warehouses: one where they had to 210put as many shelves as possible in a given layout, and a second one where they had to 211

54 apprentices in logistics participated in the study (28 in the "3D" condition, mean age =

19.07, SD = 2.76; 26 in the "2D" condition, mean age = 17.96, SD = 1.56). Due to the

vocational domain, few women participated (4 in the "3D" condition, 3 in the "2D" condition).

All participants were following a vocational training in logistics in Switzerland: 16 first-year,



Fig. 2 The experimental design of the study. See the "procedure" section below for more details. The bottom rows show the tags presented to participants to synchronize the different data sources (i.e. the eye-trackers and the Tangible User Interface). The construction task had two subtasks: participant had to first optimize the space available in a warehouse, and then minimize the average distance between the shelves and the docks

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Fig. 3 The main experimental tasks: the 2nd row the contrasting cases students had to analyze; the 2rd row shows the Tangible Interface for the construction task. The "red", "green", "blue" labels are used to color-code additional analyses below (e.g., in the cross-recurrence graphs in Fig. 8)

minimize the average distance to the docks given a certain number of shelves. Before and after212those two activities, we gave students a pre and post-test where we asked them to modify the213layout of several warehouses to optimize them. We have two main dependent variables: how214well they designed the two warehouses, and how well they answered the learning test. During215those two activities, students wore two mobile eye-trackers (SMI Eye-Tracking Glasses with216binocular pupil tracking at 30 Hz) and we used the scene cameras (1280 × 960 pixels) to record217videos for post-processing.218

Material

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Students interacted with a TUI called the TinkerLamp (Fig. 4). This system combines a camera220(to detect the location of the fiducial markers) and a projector (to display the augmented reality221layer). The system allows students to build warehouse layouts and receive feedback in real222schools in Switzerland and has been co-designed with teachers during several iterations of a224Design-Based Research (DBR) program. For an exhaustive description of this TUI, please see225Zufferey et al. (2009).226

The stimuli for each task are described in Fig. 3. The learning tests had 4 questions: we 227 asked students to 1) estimate the minimal distance between two shelves for a forklift to load up 228 a pallet; 2) optimize the average distance between the docks and shelves of a given warehouse 229 by correctly positioning two docks (arrivals and shipment); 3) optimize the average distance 230 between the docks and shelves, except that the two docks were already positioned and 231

Schneider B. et al.



Fig. 4 The TinkerLamp. The left side shows the physical apparatus, that combines a projector and a camera. The middle image provides an example of the augmented reality layer, which shows the stocks left and the forklifts moving back and forth between the docks and the shelves. The picture on the right shows three apprentices interacting with the TinkerLamp during a classroom activity

participants had to move two shelves to minimize the distance to the docks; 4) select good 232 design principles for both maximizing space and minimizing the average distance to the docks 233 from a multiple-answer question. The pre and post-test were similar, except that we changed 234 the configurations of the layouts for questions 2 and 3. Question 1 and 4 were identical on the 235 pre and post-test. 236

Coding

For the memory task, we counted the number of shelves and docks in the correct location as a 238retention score. For the construction task, the TinkerLamp provided us with two measures of 239performance: the number of accessible pallets and the average distance to the docks. 240Additionally, students' answers to the learning tests were evaluated as follows: for the 1st 241question (estimating the minimal distance between two shelves to load up a pallet), participants 242received 1, 2, 3 or 4 points depending on the accuracy of their measurement. Answers below 243the minimal distance earned 0 points. For the 2nd and 3rd question, an optimal arrangement 244 was worth 4 points. Points were deducted based on their (dis)similarity with the best answer. 245Ouestion four and five (multiple-answer question) were evaluated as right or wrong. Perfectly 246answering the test was worth 20 points. Learning gains were computed by subtracting the 247 score of the pre-test from the score of the post-test. 248

Data pre-processing

While the previous section describes outcome measures, we describe the process data gener-
ated in this study below. Datasets came from two sources: the TUI and the mobile eye-trackers.250
251We illustrate what the log files look like in both cases.252

Data from the mobile eye-tracker

Participants wore non-invasive mobile eye-trackers during the three experimental tasks. The254models used were 2 SMI Eye-Tracking Glasses (ETG) with binocular pupil tracking at 30 Hz.255Those units are lightweight (75 g.) and can easily be used for hour-long experimental sessions.256We also used the scene camera (1280 × 960 pixels) to do fiducial tracking and synchronize the257two devices. The mobile eye-trackers generate log files to accompany the movies captured258

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from the scene camera. The log files contain, for each frame of the video, the x and y location 259 (in pixels) of the participant's gaze. Scientific packages for eye-tracking systems also provide 260 researchers with a wealth of information about the subject's gaze: for instance, the pupil's 261 dilation (which can be, in some circumstances, used as a proxy for cognitive load), whether the 262 current data point is a fixation, a saccade or blink, and so on. We provide an example below 263 (Table 1): 264

Where POR stands for Point of Regard, EPOS for Eye Position, GVEC for gaze vector, L265for left, R for right, B for Binocular. In practice, researchers primarily use the timestamp, the x-266y binocular POR, and the Event info (i.e., whether the data point is a fixation, saccade or267blink). The eye position and gaze vector can be used for reconstructing the scene in three268dimensions.269

By processing the video from the scene camera with a fiducial tracking engine (Chilitags 2; 270 Bonnard et al. 2016), we were able to generate the log data that described, for each frame of 271 the video, the location of the fiducial markers on that particular frame. For example, one line of 272 this log file would look like this: 273

20,881,289.29,409.847,831.83,378,852,392,812,438,814,428,852	274
21,881,291.501,407.195,831.671,378,848,391,815,437,815,425,850	275
21,915,354,014,1054,95,544,127,1027,536,1065,28,531,986,1084,18,552,626,1044,557	276

Those numbers correspond to the following fields: frame number, marker Id, angle, 277 center.x, center.y, corners[0].x, corners[0].y, corners[1].x, corners[1].y, corners[2].x, 278 corners[2].y, corners[3].x, corners[3].y. In the example above, the 20th frame of the movie 279 file had one fiducial marker (#881), and the 21st frame had two (#881 and #915). The angle is 280 in degrees and the location of the center and corners of the fiducial marker are in pixels. 281

Data from the tangible user Interface

The TUI generated log files accompanied by screenshots captured every second from a top-
down perspective. The log files contain the x and y location of the fiducial markers detected on
the frames of the video (Table 2):283
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Challenges

The challenges of coordinating these data sources can be summarized by two main issues: 287 aligning the logs temporally (i.e., synchronizing each dataset to make sure that events are 288 happening at the same time) and aligning the data spatially (i.e., transposing the location of 289 each event onto a common space, so that we can tell if they intersect or not). We describe our 290

Header	Time Type Trial B POR X [px] B POR Y [px] L POR X [px] L POR Y [px] R POR X
1100000	[nx] R POR Y [nx] B Object Hit L EPOS X L EPOS Y L EPOS Z R EPOS X R EPOS Y R
	EPOS Z,L GVEC X,L GVEC Y,L GVEC Z,R GVEC X,R GVEC Y,R GVEC Z,Frame,Aux1,J
	Event Info
Data	20,916,024,180,SMP,1,626.41,570.39,556.52,444.18,697.15,457.43,-
	,30.62,0.02,-24.25,-28.19,0.09,-24.81,0.00,-0.22,0.98,0.02,-
	0.23,0.97,00:00:00:00,Blink

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t2.1	Table 2 E	2 Example of a line from the TUI log file		
t2.2 t2.3	Header Data	date, timestamp, type, event, fiducial ID, top left corner, top right corner 17–13-2,1,378,480,382,386,tag,added,chili,14,411.764,679.855,507.475,677.629		

strategies for solving those two issues below. Figure 5 provides a visual summary of the 291 datasets and challenges. 292

Temporal alignment

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Making sure that the log files are temporally aligned is a challenge when dealing with multiple294devices. We solved this problem by presenting fiducial markers with a specific ID at key295moments (as shown on Fig. 2). We briefly presented them on the table to both participants and296within the field of view of the TUI's camera. By post-processing the video of the eye-trackers'297scene cameras and the snapshots of the TUI, we were able to identify when those markers were298presented. This technique could be thought of a visual "hand clap" commonly used to299synchronize movies – with the advantage that participants were not distracted or discomforted300



Fig. 5 Visual summary of the challenges involved with analyzing data from mobile eye-trackers

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by a loud sound. All of the markers used for synchronization purposes were successfully 301 detected by the fiducial tracking engine (Bonnard et al. 2016). 302

Spatial alignment

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The strategy that we used to spatially align the data from each data source was to first define a 304ground truth for each task. The ground truth is a top-down representation of the scene. For the 305 analysis task, it was straightforward to generate: we simply took a picture of the warehouses' 306 layouts students had to analyze and used the fiducial engine to detect the location of each 307 marker on that picture. For the construction task, we faced an additional challenge: the ground 308 truth was changing every second. We thus needed to re-generate a new ground truth with the 309location of the fiducial markers every second. Figure 6 shows examples of ground truths for 310 the analysis and construction tasks. 311

By using the location of the fiducial markers detected from the two mobile eye-trackers, we 312 were able to remap participants' gaze onto the ground truths. This mathematical operation is 313 called a *homography* and is widely used in computer vision. More concretely, having a 314 common set of points (i.e., the location of the fiducial markers) in two different images allows 315 the researcher to infer the location of another set of points (i.e., the gaze points) which location 316 are only known on one perspective (i.e., the video frames of the eye-tracker's scene camera). 317 Figure 7 summarizes those operations. 318

Computing a metric for joint visual attention

After solving the two challenges above, we needed to consider two additional parameters320when computing joint visual attention from eye-tracking data. First, participants' visual321

	Analysis Task	Construction Task
2D Interface		Elemente al restance (Lator Paralese service)
3D Interface		Hannerse Offensenerse Bannerse Offensenerse Bannerse Bannerse Offensenerse Banne

Fig. 6 Ground truths used for the analysis and the construction tasks



Fig. 7 Examples of a homography for each task (the analysis task is shown on the left; the construction task is shown on the right). The red lines show the common set of points across perspectives (i.e., the shelves) used for the homography and the blue / green dots show the inferred location of the gaze points onto the ground truth (bottom row)

attention is rarely perfectly synchronized. In an early study, Richardson and Dale (2005) 322looked at the coupling between a speaker's and a listener's eye movements and found that a 323 listener's eye movement most closely matched a speaker's gaze with a delay of 2 s. For this 324 reason, we consider a lag of ± 2 s when computing our JVA metric. A second parameter for 325consideration is the threshold for the distance between two gazes. Jermann et al. (2011) used a 326 radius of 70 pixels with participants looking at a computer screen, but the size of the ellipse 327 depends on the distance of the participants' eyes to the plane they are looking at. We build on 328 those results to compute our own metric of joint attention: we looked at each gaze point from 329the first participant and tried to find a corresponding point from the second participant using a 330 time window of ± 2 s in a radius of 50 pixels (which roughly corresponds to the width of a 331 shelf). In a different publication, we experimented with different time windows and radiuses 332 and found consistent results with different values for those parameters (Schneider et al. 2016). 333

Finally, since the number of data points varied widely between participants, we divided our 334 measure for joint attention by the total number of gaze points of each participant to obtain a 335 percentage of joint attention over the entire activity. This prevented us from inflating the joint 336 attention score of participants who had more data points captured. Finally, we discarded blinks 337 and saccades and only focused on fixations (i.e., the pause of the eye movement on a specific 338 area of the visual field). The eye-tracking software (SMI BeGaze) automatically detected these 339 three events (i.e., fixations, saccades, blinks). 340

Results

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Capturing JVA with mobile eye-trackers

We summarize here the main results pertaining to our metric of JVA, and how it relates to 343 outcome measures (task performance, learning gains, quality of collaboration). Those results 344 are more precisely described in a different publication (Schneider et al. 2016). 345

The JVA metric was predictive of students' performance on the construction tasks: r(24) = 3460.431, p = 0.028, which suggests that joint attention was associated with a better understanding 347 Intern. J. Comput.-Support. Collab. Learn

of design principles for optimizing warehouse layouts. When computing correlations for 1st, 348 2nd and 3rd year students, we found different effects. Regarding 1st and 2nd year students 349(grouped together), joint attention was correlated with students' performance during the first 350construction task (optimizing space): r(13) = 0.59, p = 0.021 and learning gains r(16) = 0.423, 351p = 0.051. For 3rd year students, joint attention was correlated with students' performance at 352the second construction task (optimizing distance from the shelves to the docks): r(9) = 0.618, 353 p = 0.043 and not with learning gains r(9) = 0.101, p = 0.768. Those results show different 354dynamics of collaboration as students become experts and suggest differentiated effects of a 3553D TUI on different samples of students. 356

Finally, we rated students' quality of collaboration using Meier et al. (2007) rating scheme. 357 A researcher coded the entire collaborative episode using a five-point scale on the following 358eight dimensions: sustaining mutual understanding, dialogue management, information 359 pooling, reaching consensus, task division, task management, technical coordination, recipro-360 cal interaction, individual task orientation. Additionally, an overall score was computed by 361 averaging ratings across all these dimensions. A second judge double-coded $\sim 20\%$ of the 362 videos (6 groups). Inter-reliability index using Krippendorff's alpha was 0.83 (an alpha higher 363 than 0.8 is considered as a reliable agreement between judges; Haves and Krippendorff 2007). 364 We found the percentage of joint attention was significantly correlated with students' overall 365 quality of collaboration: r(24) = 0.432, p = 0.027. More specifically, joint attention was sig-366 nificantly correlated with students' tendency to manage group dialogue r(24) = 0.427, p = 0.03, 367 reach a consensus r(24) = 0.517, p = 0.007 and equally divide work between members of the 368 group r(24) = 0.424, p = 0.031. For comparison, Schneider and Pea (2013) performed the same 369 analyses in a remote collaboration and found a significant correlation between joint attention 370 and collaboration (more specifically, at sustaining mutual understanding, reaching a consensus, 371 managing time and pooling information). This finding replicates previous results showing that 372 joint attention can act as a proxy for students' quality of interaction and seems to reflect their 373 ability to reach consensus. 374

Comparing two dyads with high levels of JVA

In an earlier publication, Jermann et al. (2011) contrasted two pairs who participated in a dual 376 eye-tracking study. Their goal was to show how good groups differed from less productive 377 groups in terms of their visual coordination. In this section, we decided to focus on two pairs 378 that achieved high levels of JVA in our study. We want to better understand the multitude of 379 ways that students can synchronize their attention. We also chose two groups that performed 380 above average on the problem-solving tasks—but *not* on the learning test. Table 3 summarizes 381 key information about the two dyads: 382

After running the study, we found that the post-test was harder than the pre-test. This 383 explains why some learning gains are negative (e.g., group 20 in Table 3). 384

	Task 1	Task 2	Learning gains	JVA	Speech
Group 13	13 shelves	7.47 m	7.5 points	24%	409 s
Group 20	18 shelves	7.39 m	-0.5 points	29%	540 s
All	M = 13.5	M = 7.6	M = 1.3	M = 15.7	M = 405.7
groups	SD = 2.9	SD = 1.9	SD = 3.2	SD = 8.0	SD = 245.7

t3.1 Table 3 Data of group 13 and 20

Augmenting cross-recurrence graphs

We used the information from the eye-tracking logs and the data from Table 3 to build a new 386 visualization: an augmented cross-recurrence graph (Fig. 8). As a reminder, a cross-recurrence 387 graph displays moments of joint visual attention over the entire activity. The x-axis represents 388 time for the first participant and the y-axis represent time for the second participant. In our 389 case, it should be noted that the origin (0,0) is on the top left corner – as opposed to the bottom 390 left corner used in Fig. 1. Thus, a dark diagonal indicates synchronized moments of JVA. Dark 391dots outside the diagonal show when participants revisited areas of interest at a different point 392 in time (e.g., in Fig. 8, a black dot on the top right corner means that the first participants 393

Fig. 8 Cross recurrence graphs of two high-performing groups (13 and 20). The top figures show standard cross-recurrence graphs. The middle figures show colored graphs (red pixel = JVA on the 1st warehouse; green = JVA on the 2nd one; blue = JVA on the 3rd one). The bottom figures show speech data for each dyad

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looked at a particular location at the end of activity and the second participant looked at the 394 same area at the beginning of the activity). 395

We extracted speech information from the audio data to collect the number of seconds that 396 each student spoke. JVA and speech data are displayed in Fig. 8 for groups 13 and 20: on the 397 top, we first show the traditional black and white cross-recurrence graphs used in prior work (e.g., Jermann et al. 2011); on the bottom, we show our cross-recurrence graphs augmented 399 with spatial information (i.e., which warehouse the two students were jointly looking at) and 400 speech data (who spoke when during the analysis task). 401

The graphs of Fig. 8 provide us with several interesting bits of information about groups 13 and 402 20. First, we can notice that the traditional cross-recurrence graphs are ideal for identifying 403moments of joint visual attention (black squares along the diagonal). Based on those graphs, 404one would predict that group 20 has a better visual coordination than group 13: the diagonal is 405darker, with more well-defined squares, meaning that this group jointly looked at the same area on 406 the table more often and had longer moments of joint attention. Prior work suggests that an unfilled 407 cross recurrence graph is likely to indicate a poor collaboration between group members. However, 408 we would like to suggest that a "good" cross-recurrence graph (i.e., with a dark diagonal) is not 409 necessarily indicative of a good learning group. We will illustrate this difference by exposing some 410 of the diversity that exists between groups of highly visually-coordinated students. 411

Our augmented cross-recurrence graphs (middle section of Fig. 8) color-codes each pixel to 412show which warehouse groups 13 and 20 were looking at (red pixels represent JVA on the 1st 413warehouse, green on the second one, and blue on the last one). We also added dotted squares to 414 show when the experimenter gave various prompts to the groups (i.e., prompt 1 = "in which 415warehouse would you prefer to work", prompt 2 = "which warehouse maximizes space, and 416why", prompt 3 = "which warehouse minimizes the average distance from each shelf to the 417 docks and why", and reveal = "now I will show you numbers that answer those two questions 418 to verify if your intuition was correct"). Two very different strategies appear: group 20 419analyzed the three warehouses in a serial manner. For instance, after receiving prompt 2, they 420jointly looked at the first warehouse, then the second one, and finally at the last layout. Group 421 13, in comparison, continually compared the three warehouses. We do not have empirical 422 evidence that one strategy is superior to the other, but common sense and the PFL framework 423424 would suggest that multiple comparisons between contrasting cases would increase students' chances of noticing important features distinguishing those layouts. One indication that this 425strategy might be more beneficial to learning is that group13 achieved higher learning gains on 426 the test; indeed, they achieved the highest learning gains in the entire sample. We plan to 427 quantify this behavior in future work to see whether it positively correlates with learning across 428 all groups who participated in this study. 429

Coming back to groups 13 and 20, there is one last piece of information worth mentioning.430We know from Table 3 that group 20 talked slightly more than group 13 (540 vs 409 s.). On431the bottom of Fig. 8, we show participants' speech data. One can observe that group 20 had432more imbalanced participation (participant 39 talked for 117 s. vs 423 s. for participant 40)433compared to group 13 (in which participant 25 talked for 170 s. vs 239 s. for participant 26);434thus, one group 20 student talked 78% of the time whereas one group 13 student talked 58% of435the time. Might these differences be symptomatic of collaborative issues?436

To illuminate those results comparing groups 13 and 20, we qualitatively examined the437videos of the experiment. It should be noted that those differences (strategy used, learning438gains and speech distribution) are already a striking contrast to the supposedly superior (black439and white) cross-recurrence graph of group 20.440

Qualitative analysis

We further compared our two groups by analyzing videos of their interactions. We generated 442 videos by combining the scene cameras of the students (top left section of Fig. 9) and 443 remapping their gazes onto a ground truth during task 2 (bottom left section of Fig. 9). We 444 also added an animation of the cross-recurrence graph on the right side of Fig. 9, showing the 445graph up to that particular video frame. This video enabled a multi-modal analysis of the 446 students' interactions, in particular by highlighting how they combined gestures and speech to 447 achieve and sustain joint attention. Groups #13 and #20 were extremely similar in that regard, 448 constantly using pointing gestures to ground their verbal contributions. It is reasonable to 449believe that those deictic gestures played a large role in increasing their levels of joint visual 450attention. 451

In the table below, we focus on the major differences that we observed for the two groups. 452 Specifically, we focused on one behavior found to be an important predictor of a group's 453 success: how peers react to one another's proposals (Barron 2000). A proposal can be 454 accepted, rejected, challenged, or ignored. One key difference between groups 13 and 20 was that one dyad was more likely to uncritically accept proposals, while the other was much 456 more likely to challenge them (keywords highlighting this difference are marked in bold in Table 4): 458

We found that this difference was a recurring pattern in the videos and transcripts of groups 45913 and 20. For group 20, participant 40 was extremely verbose and dominated the interaction 460by using many pointing gestures to illustrate his thought process. This was crucial for the 461 group, because it allowed his peer to maintain joint attention on the warehouse layouts. 462Participant 39, on other hand, was very passive and very rarely contradicted his partner. 463 There are several quite different ways to characterize Participant 39's dyadic pattern with 464Participant 40. His behavior could be described by the "free rider effect" in teamwork 465identified by Salomon and Globerson (1989). In a disparate characterization, one could 466 describe the subdominant dyad member as acquiescing to the control of the dominant dyad 467member, whose proposals reigned. Either way, the ideas generated by group 20 did not 468 significantly change over the course of this activity because Participant 40's proposals were 469rarely challenged or contradicted. These two different characterizations of Participant 39 vis-à-470

Fig. 9 A video frame generated for the qualitative analysis. On the top left, we show the perspectives of the students with their gazes in blue and green. On the bottom left, we remapped their gazes onto a ground truth (red dots show moments of JVA). On the right side, we show the cross-recurrence graph up to that frame

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roup 13		Group 20
8:24>09:38		00:08>01:30
: The first question is, in which you rather work, and why?	n warehouse would	E: The first question is, in which warehouse wor you prefer to work, and why?
5: This one!		40: I think this one is good (2nd warehouse), bec
6: Yeah this one! 5: if you look at this one, you l	have less palettes	you can use half of the warehouse for loading the other half for unloading
than that one	1	39: yes but you can go faster in this one
6 Because of the width here		(1st warehouse)
5: Not really!		40: yes because in this one you only have space
6: yes, it's wider		two palettes in front of the docks. It's a little t
5: but wait, here that's 4 shelve	s	I think that I would prefer to work in this one
6: Here you can't get it out		(2nd warehouse). Maybe I would like this one
5: but you can't get from behin	ıd	39: there's enough space between the shelves
6: yes, that's what I'm saying []	40: yes, and in this one [pointing at the 1st
5: 1,2,3,4 you're crazy. You ha	ve more space here.	warehouse] the shelves are too tight
2:40> 13:52	*	08:12> 09:11
: if you could change somethin ow would you improve them?	g in each warehouse,	E: if you could modify those warehouses to mini the average distance to each shelf, what would
6: Hmmm so		do?
5: I would add two shelves, like	that, there. Otherwise	40: What would I do? For instance, in this one
6: What if we put those like the	at to add more shelves	(3rd warehouse), I would move those shelves
5: No; you can add two here, t	hat's 18 additional	the corners
palettes		39: yes right here
6: Otherwise one here		40: This one and that one, I put them here, and t
5: No, because then if you take	a palette from here,	two (in the middle), I would put them there
you have to back off like that, even if someone's	, even if someone's	39: yeah
coming from that direction	Q.V	40: No no, this doesn't make sense. It doesn't ch anything. I was thinking, those two you put t there
•		39: yes
		40: but then you're too far away from this shelf
		39: well, it's not too bad.

vis Participant 40 have quite different connotations. The 'free-rider' label has been interpreted 471 broadly as 'loafing behavior' (Salomon and Globerson 1989), a negative attribution, whereas 472an acquiescence interpretation is not a critique of the relatively passive dyad member, but a 473highlighting of the dominance relationship in their pattern of interaction and reasonably 474 interpretable as conflict avoidance. Different strategies of intervention (or re-mediation) would 475 be warranted for these two distinctive interpretations, 'free-rider' effect or 'partner dominance' 476 effect. A 'free rider' interpretation could simply prompt the passive participant about the need 477 for balanced participation, whereas an acquiescence or 'partner dominance' interpretation 478 would recommend taking effort to ensure that your viewpoint is reflected in your dyad's joint 479work. 480

Group 13 provides a strong contrast to Group 20's asymmetrical dynamic. In this group, we 481 found that participant 26 tended to act like participant 40 (i.e., by driving the interaction and assuming a leadership role). This was mostly manifested by the amount of speech shown on 483 the bottom of Fig. 8. But participant 25 was not the kind of relatively passive participant that 484 participant 39 was; whenever he did not agree with a proposal, he challenged it until the group 485 reached a consensus. Those challenges were often initiated by using observations made on 486 other layouts, which explains why the group was more likely to have some back and forth on 487

different warehouse layouts (as shown on the colored cross-recurrence graph in Fig. 8). Thus, a488continuous refinement of their proposals seemed to be beneficial to their conversation, while489group 20's reflection stayed on a more superficial level.490

Detecting imbalances of participation in the eye-tracking data

The next question is whether this asymmetrical collaboration dynamic behavior pattern 492can be detected from the eye-tracking data. We propose one approach here; other measures 493might provide the same result. We started by identifying, for each moment of joint 494attention (i.e., each red dot on Fig. 9), which student initiated that episode. In our case, 495we define the initiator as the person whose gaze was most present in this area during the 496previous second. While we recognize that this definition is arbitrary, it is arguably a 497 reasonable first step in capturing leadership behaviors. We then computed the proportion 498of the JVA moments that each student initiated, and then applied this proportion to the 499percentage of JVA moments achieved by the group. We took the absolute value of the 500difference between the score of each participant in a group to represent the (im)balance of 501a group's "visual leadership". As an illustration, a group may achieve joint attention 502during 25% of their time collaborating together; let us say that one student initiated 5% 503of those moments of JVA, while the other student initiated 20% of those moments. 504Following the computation above, this group would receive a score of abs(0.05-0.20) =5050.15. This measure is shown on the x-axis of Fig. 10. 506

Points on the right side of the graphs (with higher values) represent groups where one 507person was more likely to initiate more moments of JVA; points on the left side of the graph 508(with values closer to zero) represent groups where both students were equally likely to either 509respond or initiate a moment of JVA. The y-axis shows learning gains computed at the group 510level. We found a negative correlation between dyads' learning gains and the absolute 511difference of students' visual leadership during the analysis task: r(23) = -0.33, p = 0.10 and 512the construction task: r(23) = -0.47, p = 0.02. This negative correlation suggests that groups 513who did not equally share the responsibilities of initiating and responding to offers of JVA were 514less likely to learn. This result shows that we could potentially recognize a form of the "free-515rider"or "partner dominance" effect by looking at the eye-tracking data in a collaborative 516setting. 517

Fig. 10 Negative correlation between students' learning gains and their visual leadership (i.e., the difference between the percentage of moments of JVA initiated by each participant). Left side shows the scatter plot for the analysis task (r = -0.33, p = 0.10) right side shows the scatter plot for the construction task (r = -0.47, p = 0.02). Green dots are dyads in the 3D condition, blue dots dyads in the 2D condition

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Discussion

This paper makes four contributions to the study of collaborative learning groups. First, it 519presents a methodology to process the data coming from two mobile eye-trackers and 520capture levels of Joint Visual Attention in co-located settings. Previous work (e.g., Jermann 521et al. 2011; Richardson and Dale 2005) studied remote collaborations where participants 522were in different physical spaces and collaborated remotely. This makes our contribution 523more ecological and opens new doors for analyzing face-to-face and side-by-side interac-524tions. Second, we qualitatively analyzed the interactions of two dyads with high levels of 525JVA and described a case where it produced low learning gains: we found that the second 526student was less likely to challenge his peer's proposals, which prevented the dyads from 527refining their understanding of warehouse management. Third, we showed that augmenting 528cross-recurrence graphs with spatial and verbal information provides researchers with new 529insights regarding students' strategies and interactions: color-coding them suggests wheth-530er a dyad is working in a serial or parallel manner when they are analyzing contrasting 531cases. We hypothesize that the latter strategy is more beneficial to learning, as measured by 532our pre and post-tests. Furthermore, adding speech data to the graphs was crucial in our 533analyses. It allowed us to visually detect imbalances in the group's interactions and dig 534deeper into their discussion. This observation spawned the paper's fourth contribution: we 535found that highly coordinated dyads (as measured by dual eye-trackers) were not neces-536sarily the best learning groups. Augmented cross-recurrence graphs revealed imbalances in 537students' verbal contributions, which can be characteristic of the free-rider effect where one 538student does most the work while his/her partner stays passive. Finally, we extended those 539results to the entire sample and found a negative relationship between students' learning 540gains and their tendency to share the responsibility of initiating and responding to offers of 541joint visual attention. This shows that learning is promoted by productive interactions 542between participants; and, more specifically, that balanced levels of "visual leadership", a 543form of dyadic mutuality, seems to indicate exchanges where both group members are 544significantly contributing to the discussion. We thus build on collaborative learning work 545by Barron (2000) who defines a 'mutuality' form of coordination in groups as 'reciprocity 546with potential for all members to meaningfully contribute' (p. 429). 547

Conclusions and implications

The implications of this work are that cross-recurrence graphs are highly valuable for 549distinguishing between productive and unproductive groups. But they should ideally be 550complemented with spatial and verbal information to provide a more refined multi- represen-551tation of the multiple modalities of a group's interactions. Past a certain threshold, high levels 552of joint visual attention make higher learning gains possible, but they do not guarantee them. 553There are multiple ways in which student dyads can establish and maintain joint visual 554attention, which is a necessary but not sufficient condition for productive interactions (for 555example socio-cognitive conflicts). This paper makes a first step in detecting the absence of 556those conflicts in visually coordinated students—by identifying *imbalances* in students' 557tendency to initiate or respond to offers of joint visual attention. This metric could potentially 558be used to detect groups who would benefit from formative feedback or interventions to 559regulate group behavior. 560

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