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# Improving the quality of vocational students' collaboration and knowledge acquisition through instruction and joint reflection

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#### Abstract

New societal demands call for schools to train students' collaboration skills. However, research 14 thus far has focused mainly on promoting collaboration to facilitate knowledge acquisition and 15has rarely provided insight into how to train students' collaboration skills. This study demon-16strates the positive effects on the quality of students' collaboration and their knowledge 17 acquisition of an instructional approach that consists of conventional instruction and an online 18 tool that fosters students' joint reflection on their collaborative behavior by employing self- and 19peer assessment and goal setting. Both the instruction and the collaboration reflection tool were 20designed to promote students' awareness of effective collaboration characteristics (the RIDE 21rules) and their own collaborative behavior. First-year technical vocational students (N = 198, 22Mage = 17.7 years) worked in heterogeneous triads in a computer-supported collaborative learn-23ing environment (CSCL) on topics concerning electricity. They received either 1) conventional 24instruction about collaboration and the online collaboration reflection tool, 2) collaboration 25instruction only, or 3) no collaboration instruction and no tool. Analysis of chat data (n = 92)26and knowledge tests (n = 87) showed that students from the instruction with tool condition 27outperformed the other students as far as their collaborative behavior and their domain knowl-28edge gains. 29

KeywordsCollaborative learning · Collaboration instruction · Collaboration reflection tool ·30Inquiry learning31

#### Introduction

With the progressive embedding of technology in society, professionals in technical vocations34(e.g., car mechanics, electrical engineers) are increasingly required to function in multidisciplinary35

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teams and to work on complex multifaceted problems in which collaboration is essential for 36 successful problem-solving. Hence, such technicians are expected to be not only experts in their 37 field, but also efficient and effective collaborators (Christoffels and Baay 2016). However, 38 schools struggle with explicitly teaching most interdisciplinary skills such as collaboration 39(Onderwijsraad 2014) and thus fail to prepare their students to meet these workplace require-40ments. Aside from being a problem for the transition from student to employee, this is also a 41 missed opportunity for education, because collaboration, if done effectively, can contribute to 42students' knowledge acquisition (e.g., Gijlers et al. 2009; ter Vrugte and de Jong 2017). 43

Currently, technical vocational education does encourage collaboration. The most common 44 integration of collaboration seems to be through projects in which students work in teams on a 45joint product. However, schools do not typically provide instruction on effective collaboration, 46 nor do they assess whether students can demonstrate the desired skills, aside from occasional 47self-reflection reports. Although this format allows students to practice collaboration in a 48relevant context, which is essential for development of skills such as collaboration 49(Hattie and Donoghue 2016), it is unlikely to be the most effective, productive, and 50efficient way to improve students' collaborative behavior. Research has shown that 51merely placing students together does not automatically result in the desired collab-52orative behavior (Johnson et al. 2007; Mercer 1996) and that without support, 53collaborating students often fail to reach the desired goal within the set timeframe or fail at 54the task completely (Järvelä et al. 2016; Rummel and Spada 2005; Anderson et al. 1997). 55Moreover, "Inappropriate use of teams can undermine the educational process so badly that 56learning does not take place, students learn how not to learn, and students build an attitude of 57contempt for the learning process" (Jones 1996, p. 80). 58

The popularity of collaboration in educational settings is also demonstrated by the consid-59erable amount of research directed at collaboration. This research, however, mainly 60 focuses on the use of collaboration to optimize knowledge acquisition (e.g., Dehler 61et al. 2011; Kollar et al. 2006; Noroozi et al. 2013; Wecker and Fischer 2014). As a 62logical consequence, the majority of the studies have focused their interventions and 63 analyses on knowledge gain; far less emphasis has been put on how to train the 64 collaboration itself and how instructional approaches affect students' actual collabora-65tive behavior. Therefore, the current study investigates whether instructional support 66 related to collaboration in the form of a combination of conventional instruction and 67 prompted joint reflection (incorporating principles of self- and peer-assessment and 68 goal setting) can steer technical vocational students towards behavior that is desirable 69 for effective collaboration. As a frame of reference for identifying desired collabora-70tive behavior, the outcomes of the analyses on essential characteristics of collaboration by Saab 71et al. (2007) were used. 72

#### **Theoretical framework**

#### Instruction

Research has shown that instructing students about characteristics of effective collaboration 75 fosters their collaborative behavior (Chen et al. 2018). A frequently used method for such 76 instruction is scripting, a form of guided instruction in which students are instructed about how 77 they should interact and collaborate (Dillenbourg 2002). A considerable amount of research 78

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has been conducted in which a variety of scripts were implemented (Kollar 2006;79 Q2Vogel 2017). Although students may learn from the information introduced by scripts,<br/>there is a risk that these scripts spoil the (natural) collaboration process (Dillenbourg80 Q32002).82

Other more conventional forms of instruction that precede students' collaborative activities 83 and therefore do not interfere with ongoing collaborative process have also proven to be 84 effective. Rummel and Spada (2005) and Rummel et al. (2009) showed that observing a 85 worked-out example of a model collaboration can positively affect students' subsequent 86 collaborative behavior. This is in line with more general findings that students can learn from 87 observing other students' dialogue (Stenning et al. 1999). 88

More evidence for the effectiveness of preceding students' collaboration with instruction on 89 characteristics of effective collaboration comes from Saab et al. (2007), who performed a study 90 in which students received computerized instruction on characteristics of effective collabora-91tion combined with examples of good and poor employment before collaborating in an inquiry 92learning environment. The outcomes demonstrated that students who received the instruction, 93 collaborated more constructively compared to students who did not receive the instruction. 94The content of the instruction was based on their literature review, in which they identified the 95following behaviors as essential for effective collaboration: 96

...allow all participants to have a chance to join the communication process; share98relevant information and consider ideas brought up by every participant thoroughly;100provide each other with elaborated help and explanations; strive for joint agreement by,101for example, asking verification questions; discuss alternatives before a group decision is102taken or action is undertaken; take responsibility for the decisions and action taken; ask103each other clear and elaborated questions until help is given; encourage each other; and104provide each other with evaluative feedback. (p. 75).105

Saab et al. (2007) summarized these essential behaviors in four rules: Respect, Intelligent107collaboration, Deciding together, and Encouraging (the RIDE rules). These rules, which reflect108communicative activities that are seen as essential for effective collaboration, formed the basis109for the definition of effective collaboration in the current study.110

Although instruction and examples are already effective, stimulating students to connect 111 desired behavior to their actual behavior, and providing students with the opportunity to close 112 gaps between desired and actual behavior might be necessary to create substantial change 113 (Sadler 1989). Reflection (i.e., looking back on past behavior in order to optimize future 114 behavior) fosters this connection. 115

#### Joint reflection

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Reflection is "a mental process that incorporates critical thought about an experience and 117 demonstrates learning that can be taken forward" (Quinton and Smallbone 2010, p.126). It 118 creates awareness of processes that are normally experienced as self-evident and is considered 119an essential element of the learning process (Chi et al. 1989). Although the majority of the 120research focusing on reflection in collaborative settings has related reflection to learning 121outcomes (Gabelica et al. 2012), there is also some research that has related reflection to 122collaboration skills (e.g., Phielix et al. 2010, 2011; Prinsen et al. 2008; Rummel et al. 2009). 123However, the outcomes of these studies were ambiguous. Though this ambiguity is possibly 124

partially due to the fact that reflection on collaboration was implemented differently in the different studies and collaboration was measured in different ways, it could also be explained by the reliability of the information students reflected upon. 127

Reflection requires students to assess their own performance, which ideally involves 128comparing their performance to the goal performance, identifying gaps in their performance, 129and working towards fixing these gaps (see, Quinton and Smallbone 2010; Sadler 1989; 130Sedrakyan et al. 2018). Hence, the starting point for reflection is often students' self-assess-131ment. However, research has demonstrated that in general, students tend to overestimate their 132own skills and performance (Dunning et al. 2004). With regard to the specific focus of the 133current study, Phielix et al. (2010) and Phielix et al. (2011) found that students hold unrealistic 134positive self-perceptions regarding their collaboration skills. Hence, even when reflecting, 135students' unrealistic self-perceptions can cause them to fail to identify gaps in their skills, 136which leads them to exert less effort than needed to optimize their behavior. Gabelica et al. 137(2012) stated that they consider feedback from an external agent to be a necessary precondition 138 for reflection. Complementing students' self-assessment with additional data (e.g., peer-as-139sessments) is likely to help optimize the effectiveness of their reflection. Providing students 140with multiple views can complement the results of their self-perception, which, in turn, 141 provides a better basis for reflection (Dochy et al. 1999; Johnston and Miles 2004). 142

Based on a review of 109 studies, Topping (1998) concluded that the effects of peer 143assessment are "as good as or better than the effects of teacher assessment" (p. 249); 144furthermore, research has demonstrated that peer assessment is beneficial for both the assessors 145as well as the assessees (e.g., Li et al. 2010; Topping 1998; van Popta et al. 2017). In peer 146assessment, social processes might stimulate students to increase their effort when comparing 147 their scores to those of their peers or to set higher standards for themselves (Phielix 2012; 148Janssen et al. 2007). The studies from Phielix and colleagues (Phielix et al. 2010, 2011) in 149which they used a peer feedback and a reflection tool to enhance group performance in a 150computer-supported collaborative learning environment provided examples of this. They 151found positive effects of peer feedback and reflection on perceived group-process satisfaction 152and social performance. 153

After identifying their performance, reflection requires students to compare their perfor-154mance to the goal performance, identify gaps, and make a plan to work towards fixing those 155gaps (see, Quinton and Smallbone 2010; Sadler 1989; Sedrakyan et al. 2018). Though all of 156these steps are essential for successful reflection, the importance of the last step has been 157emphasized by research demonstrating that reflection should not only be about students' 158current behavior, but should also include their future functioning (Gabelica et al. 2012; 159Phielix et al. 2011; Hattie and Timperley 2007; Quinton and Smallbone 2010). More specif-160ically, it seems important that students are stimulated to set goals for further improvement of 161their behavior. Gabelica et al. (2012) compared students who received feedback with students 162who received feedback but additionally were also prompted to reflect on this feedback, identify 163gaps, and explain how their behavior could be improved. They termed this second step 164"reflexivity", and found that this proactive analysis is essential to the effectiveness of feedback. 165

#### **Current study**

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From the above, it can be concluded that schools are in need of effective tools for teaching 167 their students how to collaborate. Though research shows that simply having students 168

collaborate – a common practice in technical vocational education – is not enough to improve 169students' collaboration skills, it is as yet unclear how instructional approaches can foster 170students' collaborative behavior or affect their collaboration skills. There is limited evidence 171showing that instructing students on characteristics of effective collaboration is beneficial 172(Rummel and Spada 2005; Rummel et al. 2009; Saab et al. 2007). More research is necessary 173to substantiate these findings. In addition, it is likely that the inclusion of reflection could 174increase the effectiveness of such instruction. Considering reflection, joint reflection seems 175preferable over independent reflection (Renner 2016), and principles of self- and peer assess-17604 ment and goal setting can be employed to optimize the effectiveness of this joint reflection. 177The rare studies that have coupled joint reflection (through principles of self- and peer 178assessment and goal setting) and collaboration have demonstrated promising results (Phielix 179et al. 2010, 2011). However, the focus of these studies was on perceived collaborative 180behavior. Results of the joint reflection for students' actual behavior therefore remains unclear. 181

The current study extends knowledge in the field of collaborative learning by investigating 182 the effect of instructional support (conventional instruction together with joint reflection using 183 principles of self- and peer-assessment and goal setting) not only on students' knowledge 184 acquisition, but also on their actual collaborative behavior, while working in a computersupported collaborative learning (CSCL) environment. It employs approaches used in prior 186 studies and unites them in a unique way. 187

More specifically, the instructional support was designed to inform students about the RIDE 188rules and stimulate students to use these rules during their collaboration. The RIDE rules (i.e., 189Respect, Intelligent collaboration, Deciding together, and Encouraging) are communication 190rules based on essential characteristics of collaboration and have been tested as a support for 191synchronous distance communication (Saab et al. 2007; Gijlers et al. 2009). The 192instructional support took the form of face-to-face conventional instruction and an 193online tool to prompt students' joint reflection, supported by studies by Renner et al. 194(2014, 2016) showing evidence of the effectiveness of online prompts for joint 195reflection. To optimize students' reflection, the tool incorporated self- and peer-196assessment (students assessed their own and each other's collaborative behavior) to 197 provide a more reliable information source for reflection, and collaborative goal setting 198(students collaboratively planned how to optimize their future collaboration) to stimulate 199students to connect their reflection to their future behavior. 200

The following research questions were addressed:

- 1. What is the effect of instruction about characteristics of effective collaboration on the 202 quality of students' collaboration? 203
- What is the effect of a combination of instruction about characteristics of effective 204 collaboration and joint reflection on the quality of students' collaboration?
- 3. What is the effect of instruction about characteristics of effective collaboration on 206 students' knowledge acquisition? 207
- 4. What is the effect of a combination of instruction about characteristics of effective 208 collaboration and joint reflection on students' knowledge acquisition? 209

To answer these questions, three conditions were compared in the current study. In one 210 condition, students received instruction in which they were taught about the RIDE rules before 211 entering into collaboration. In a second condition, students received similar instruction 212 complemented with an online tool (also based on the RIDE rules) that required them to reflect 213

on their collaborative behavior. In a third condition (a control condition), students received no 214 instruction and no tool. 215

Based on the above-mentioned literature, it was expected that instruction on the RIDE rules 216would foster chat activities that contribute to effective collaborative behavior and would 217therefore positively affect the quality of students' collaboration (measured in terms of desired 218communication activities) and that the students' use of the tool would further strengthen this 219effect. In addition, as several studies have shown that effective collaboration positively affects 220students' knowledge construction (Lou et al. 2001; van der Linden et al. 2000; Johnson et al. 2212007), it was expected that the improved collaborative behavior would positively affect 222 knowledge acquisition. 223

#### Method

#### Design

This study utilized a pretest - intervention - posttest design. During the intervention, students 226worked collaboratively in heterogeneous teams of three students in a computer-supported 227collaborative learning (CSCL) environment. To ensure heterogeneous grouping, the following 228 procedure was followed: per class, pretest scores were ranked from high to low and 229divided into three equal parts (i.e., high, average, and low pretest scores). Students 230who did not complete the pretest, for assignment purposes only they received the 231class average as their pretest score. Within each class, triads were then composed by 232grouping three students from each part. The first triad would consist of the three students who 233 scored highest within their part, and the last triad was made up of the three students who scored 234lowest within their part. 235

Each triad was assigned to one of the following conditions: the instruction with tool 236 condition, the instruction only condition, or the control condition. To ensure that 237 triads' average pretest scores were equally distributed among the three conditions, 238 triads within each class were ranked on their average pretest score and alternately 239 assigned to the different conditions. 240

The conditions were identical in terms of learning material (i.e., the CSCL environment, in 241 which an information icon with information about the RIDE rules was integrated that was accessible for all conditions), but differed in whether or not students received the collaboration 243 instruction and had to use the collaboration reflection tool. 244

#### Participants

A total of 198 secondary vocational education students (192 males, 6 females), with a mean 246age of 17.67 years (SD = 1.25) - based on the exact age by using the birthday - participated in 247this study. Participants were first-year students from nine classes divided over four schools for 248secondary vocational education (in Dutch: MBO) in the Netherlands. Within this sector, 249students are prepared for their role as a vocational professional. Courses are offered at four 250levels of education and in two learning pathways (i.e., school-based and work-based). 251Participants in the current study were enrolled in a technical training program at the fourth 252level (i.e., specialist training) that includes electrical engineering as a fundamental part of their 253curriculum and has a total duration of four years. 254

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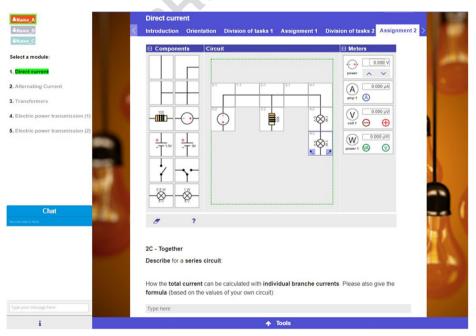
As teamwork is part of their curriculum, all participants had experience with collaborative 255 activities to a greater or lesser extent. Although these students were familiar with digital learning materials and software, they had no learning experiences in similar CSCL environments with supportive tools. 258

#### **CSCL** environment

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Research has shown that it is important that skills such as collaboration (part of what are 260termed twenty-first century skills) are learned when embedded in contexts that resemble the 261students' future professional practice (Hattie and Donoghue 2016). Therefore, in this 262study, students were trained in a CSCL environment. This enabled students to practice 263collaboration not only with relevant topics (i.e., while working on problems they 264might encounter during their profession) but also within a relevant setting (i.e., one 265where collaboration is not face-to-face and communication is mainly digital). To 266ensure that the content of the CSCL environment would be similar to actual school tasks and 267would include situations considered important for students' future profession, the environment 268was co-designed with teachers. 269

The CSCL environment contained a series of assignments, two online labs, instructive 270 multimedia material, and a chat facility (see Fig. 1). It was designed with the Go-Lab 271 Authoring Platform (de Jong et al. 2014) and covered three topics (i.e., direct/alternating 272 current, transformers, and electric power transmission) divided over five modules (i.e., direct 273 current, alternating current, transformers, electric power transmission (1), and electric power transmission (2)). All modules were similarly structured by means of tabs at the top of the 275



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**Fig. 1** Screenshot of the CSCL environment (translated from Dutch) with the current module with the Electricity Lab; the names of the team members, the chat, and an overview of the modules are on the left

screen. The first tab opened an introduction in which the purpose and use of the module was276briefly explained. The introduction was followed by an orientation tab where the topic of that277particular module was introduced, either through an introductory video or through an overview278of relevant concepts. The remaining tabs opened assignments that were connected to one of the279online labs. Completion of a module was a prerequisite for starting the next one.280

Two online labs were integrated within the CSCL environment. In the Electricity Lab (see 281Fig. 1), students could create electrical circuits based on direct or alternating current, perform 282measurements on them, and view measurement outcomes. In the Electric Power Transmission 283Lab (see Fig. 2) students could design a transmission network by choosing different power 284plants and cities, and by varying different components within the network (e.g., properties of 285the power line, number of power pylons, and the voltage). Depending on the assignment, the 286labs were used either individually (i.e., student actions were not synchronized) or together (i.e., 287student actions were synchronized). 288

All modules contained similar types of assignments that required collaboration for com-289pletion (e.g., information sharing and shared decision making). The assignments stimulated 290this collaboration through individual accountability and positive interdependence, two 291elements that aim to trigger interactions within teams (Johnson et al. 2007). More 292specifically, every module contained one or more assignments that students had to 293complete individually, after which they had to share their information in order to 294complete a joint assignment. For example, when students had to create an electrical 295circuit in the Electrical Circuit Lab, they were each assigned a different task (i.e., 296specific components that only they could manipulate). Similarly, in the Electrical 297Power Transmission Lab the ultimate joint goal was to create an optimal power 298transmission network, while each individual student pursued a unique goal (i.e., 299highest efficiency, lowest costs, and highest safety/sustainability). In this way, students 300 could only reach their joint goal if the individual tasks were completed (i.e., positive 301 interdependence) and all students could be held responsible for the team's success 302 (i.e., individual accountability). 303

#### **Collaboration instruction**

The goal of the classroom collaboration instruction was to inform students about important 305 characteristics of effective collaboration. The instruction was based on the RIDE rules (see introduction), which were slightly adapted in order to make them more suitable for this target 307 group and learning environment (see Table 1). 308

The instruction, delivered by the researcher, followed a teacher-centered approach 309 and was structured in accordance with the first principles of instruction (Merrill 3102002): activation of prior experience, demonstration of skills, application of skills, 311 and integration of these skills. The instruction started with a short introduction during 312which the learning goals (i.e., knowing what are important characteristics of effective 313 collaboration and improving collaboration skills) were explained. Thereafter, the 314 relevance of being able to collaborate effectively was emphasized by stressing the 315importance of collaboration skills in their future jobs. Prior experiences were activated 316 by recalling situations in which students had to work together in teams during school 317projects. After this, each RIDE rule was explained by defining its relevance and by 318 introducing the sub-rules, which were each illustrated by a good and a poor example. 319The instruction continued with an interactive portion in which chat excerpts showing good and 320

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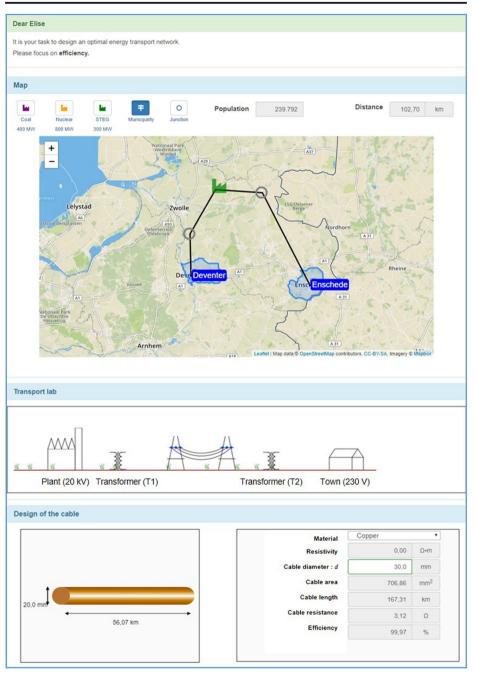


Fig. 2 The electric power transmission lab (translated from Dutch), with efficiency as the main goal

poor examples were evaluated. In this way, students were encouraged to apply what had been321demonstrated and explained during the instruction in another context. Finally, students were322asked to think about how they could apply the RIDE rules when working together in the CSCL323environment with the online labs.324

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RIDE rule	Sub-rules
Respect	Give everyone a chance to talk
	Consider other students' input
	Don't judge students personally after they make mistakes
Intelligent collaboration	Share all relevant information and ideas
-	Clarify the information/answers given
	Ask for explanations if they have not been given or when something is uncle
	Give constructive criticism of other students' ideas (not of the person himself or herself)
Deciding together	Check if everyone agrees before taking actions or giving answers
	Contribute to the decision-making process if others want to make a decision
Encouraging	Encourage others to participate actively
	Give compliments when others make a useful contribution

#### Collaboration reflection tool

The collaboration reflection tool was designed to prompt and scaffold students' joint reflection 326on collaborative behavior. It incorporated principles of self- and peer-assessment and goal 327 setting. 328

As described, the content of the tool addressed the four RIDE rules, while the structure of 329the tool was based on a study of peer feedback and reflection by Phielix et al. (2011). In line 330 with the model for successful feedback proposed by Hattie and Timperley (2007), the tool 331consisted of three phases that were completed either individually (1. feed up and 2. feed back) 332 or in collaboration with the other team members (3. feed forward). This feedback model is also 333 aligned with the major steps in reflection, which require students to assess their performance 334(feed up), compare their performance to the goal performance (feed back), identify gaps in 335 their performance and work towards fixing these gaps (feed forward) (see, Quinton and 336 Smallbone 2010; Sadler 1989; Sedrakyan et al. 2018). 337

In the *feed up phase*, students had to rate their own and each other's collaborative behavior 338 on a ten-point scale for each RIDE rule (see Fig. 3a). Students could access information about 339 the rules by clicking on the corresponding information icons. This information was similar to 340the information given in the collaboration instruction. Once students had completed the 341assessment, they indicated this by pressing the 'finished' button, after which they entered 342 the feed back phase (see Fig. 3b). 343

In the *feed back phase*, students received a graphical representation of the team's and their 344own evaluated collaborative behavior. The initial graph showed the average team score for 345each RIDE rule. By clicking on one of the bars, self- and peer assessment scores for each 346 student were shown (see Fig. 3b). Once all students indicated they had finished viewing the 347 feedback, they entered the feed forward phase (see Fig. 3c). 348

The *feed forward phase* was designed to stimulate reflection and goal setting. Students 349received a set of questions that structured their reflection and supported them in constructing 350goals for improvement. This phase was complemented by the graphical representation from 351the feed back phase. Similar to the information given in the feed up phase, the RIDE rules were 352explained briefly. For each RIDE rule, students had to discuss what went well and what could 353 be improved, after which they had to write down their joint goals (i.e., what will they keep on 354doing? and what are they going to improve?). If the tool had been completed before, 355

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Fig. 3 The three phases of the collaboration reflection tool: feed up, feed back, and feed forward (translated from Dutch)

previously formulated goals were shown and students were asked to discuss whether or not these goals had already been reached. Once the current goals for each RIDE rule had been formulated, students could click on the 'finished' button. The tool closed when all students did so. Completion of the collaboration reflection tool takes up to ten minutes. 360

#### Domain knowledge tests

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Two parallel paper-and-pencil tests were used to measure students' domain knowledge about362the three topics in the CSCL environment, both before and after the intervention. The parallel363items differed from each other in context or formulation. Counterbalancing was used to364prevent order effects. That is, approximately 50% of the students of each condition received365version A as a pretest and version B as a posttest, while the rest of the students received version366B as a pretest and version A as a posttest. Reliability analysis revealed a Cronbach's alpha of367.87 on the pretest and .85 on the posttest.368

The test consisted of 12 open-ended questions, which were tailored to the content of the 369 CSCL environment: four questions per topic, of which two assessed knowledge at the 370 conceptual level and two assessed knowledge at the application level. A rubric was 371 used to score the tests. For each test, a maximum of 25 points could be earned. The 372373 total maximum score for the conceptual knowledge questions was 9 points. The total maximum score for the application questions was 16 points. A second coder coded 37% of the 374tests independently, which resulted in an interrater reliability (Cohen's Kappa) of .76 for the 375pretest and .82 for the posttest. 376

#### Procedure

The experiment took place in a real school setting during regular school hours. It comprised 378 five sessions, the first and the last of which took 60 min each, while the second, third, and 379 fourth took 90 min each. The first session started with a short introduction during which 380 students were informed about the upcoming lessons and learning goals. The ultimate goal of 381 improving their collaboration was emphasized. Subsequently, students were given the domain 382 knowledge pretest. They were told that during the learning sessions, content-related questions 383 would not be answered by either the teacher or the researcher. The first session ended with the 384introduction of the CSCL environment and the labs. At the start of the second session, students 385 in the instruction with tool condition and the instruction only condition received the collab-386 oration instruction, which took 20 min. Students in the control condition received no collab-387 oration instruction and had to wait in another room. After this instruction, students in the 388 instruction only condition were asked to go to the room in which the students from the control 389 condition were seated. Students in the instruction with tool condition then received brief 390 instruction about how the collaboration reflection tool worked. After the instructions were 391given, all students were gathered and assigned a seat. To discourage face-to-face communica-392tion, students within the same triad were seated apart from each other. Thereafter, students 393 received the URL of the CSCL environment and a login code and started working in the 394environment that was specific for the condition they were assigned to. Students continued their 395 work in the CSCL environment during the third and fourth sessions or until they had 396 completed all the modules. For the students in the instruction with tool condition, the 397 collaboration reflection tool was offered four times at set points in the CSCL environment. 398 To give students a chance to get used to the environment, before reflecting on their collabo-399 ration, there was no tool after module one, but only at the end of modules two, three, four, and 400 five. In the fifth session students completed the domain knowledge posttest. 401

#### Data analysis

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The inclusion criteria for students' data were based on students' grouping, attendance, and 403progress: Students who had to work individually because they could not be grouped in a triad 404 due to the total number of students in a class not being a multiple of three were excluded from 405the final dataset. Moreover, not all students attended all three intervention sessions (i.e., 406sessions 2-4). If only one team member did not attend all intervention sessions, the data for 407 the team (which was a dyad in one or more sessions and a triad in the remaining sessions) were 408still analyzed, but only the data from the members who attended all intervention sessions were 409included in the final dataset. If more than one team member did not attend all intervention 410sessions, the team was removed from the analysis. Also, students who did not finish the fourth 411

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(and thus also fifth) module, which means that they could not have obtained all of the412necessary knowledge (as measured by the test) and did not complete at least three iterations413of the tool, were excluded from the final dataset. As a result, the final sample included 92414students (27 in the instruction with tool condition, 28 in the instruction only condition, and 37415students in the control condition). Five of these students were excluded from the sample for the416analysis of the domain knowledge tests, because they missed either the pretest or the posttest or417both; results for these students were included in the log file analyses.418

Students' chat logs were used to assess their collaborative behavior. Chat activities were419derived from the log files and were coded based on their content. Students' communications420were evaluated as being on-task or off-task, responsive, and respectful. Other evaluations421included whether students kept each other posted, helped each other when necessary (i.e.,422whether they shared information, asked questions, and were critical regarding others' input),423and whether they took responsibility for their actions and decisions.424

At the start of the coding, the chat data per student were segmented into utterances. An 425utterance is a coherent entry by a student that was submitted to the chat by pressing Enter. If 426two consecutive chat entries by a single student contained an exact repetition or spelling 427 correction, both entries were combined and considered as one utterance. A coding scheme, 428 based on the coding scheme developed by Saab et al. (2007) was developed to code each 429utterance (see Fig. 4). The coding scheme of the current study shows communalities with the 430framework developed by Weinberger and Fischer (2006) and the coding scheme used by 431Gijlers et al. (2009). 432

A total of 17,412 utterances were coded (see Table 2 for the number of utterances per condition and the average number of utterances per team). Each utterance was coded on two levels. On the first level an utterance was coded as *off-task* (utterance related to neither the task nor the domain), or on-task. Off-task utterances received no further codes. On-task utterances were further specified as *domain* (domain-related utterance), *coordination* (task-, but not 437

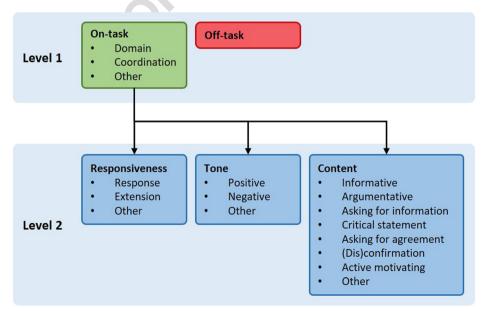


Fig. 4 Overview of codes at the utterance level

domain-related utterance), or other (utterance related to actions within the lab, (dis)functioning438of the lab or the CSCL environment, or that could not be coded as either domain or439coordination).440

Only on-task utterances received three additional codes at the second level. First, the 441 responsiveness of an utterance was determined. It was decided whether an utterance was a 442response (a reaction to one of the previous utterances within the chat). If an utterance was not a 443 response, it was coded as either an extension (extension of one's own previous utterance) or 444 other (an utterance that could not be coded as either response or extension). Second, utterances 445were assessed on their tone, which could be *positive* (towards a person, a task or in general), 446 negative (towards a person, a task or in general), or other (neutral or undeterminable). Third, 447 the content of an utterances was determined using one of the following codes: *informative* 448 (informative utterance), argumentative (argumentative utterance aiming at clarifying, reason-449 ing, interpreting, stating conditions or drawing conclusions), asking for information (asking for 450understanding, explanations or clarification), critical statement (asking a critical question or 451making a critical statement), asking for agreement (asking for agreement or action), 452(dis)confirmation (explicitly (dis)agreeing or (not) giving consent), active motivating 453(encouraging team member(s) to participate or to take action), other (utterances that could not 454be coded in one of the previous categories, i.e., 'haha', 'hmm'). See Table 3 for examples of 455each utterance. 456

A second coder coded 12.6% of the chats independently (level 2), which was a selection of 45721 chat excerpts, randomly divided across students and modules. This resulted in an interrater 458reliability (Cohen's Kappa) of .74 (with 85.5% agreement) for responsiveness, .76 (with 94.5% 459agreement) for tone, and .76 (with 84.3% agreement) for content. These codes were used to 460create codes in terms of percentage (the proportion of a specific type of utterance out of the 461 total number of utterances) that could serve as indicators for the quality of students' 462collaboration. These codes in terms of percentage are used as communication vari-463ables for further analysis. The extensive data set that results from this, allows for 464conducting quantitative analyses to objectively identify differences in communication activities 465between conditions and, therefore, gives insight in the possible effect of the intervention on the 466 quality of students' collaboration. 467

One of the communication activities (i.e., give everyone a chance to talk) cannot be 468 expressed in terms of frequencies of particular utterances, but is related to equal participation. 469Therefore, a group-level measure was created in addition to the measurements at the student 470level, to gain insight into how equal team members' participation was (i.e., the extent to which 471all students contributed to the dialogue). When all students in a group are given the chance to 472talk and therefore a chance to contribute to the group process, this would become manifest in 473equal participation (Janssen et al. 2007), whereas highly unequal participation can be an 474indicator of social loafing (Weinberger and Fischer 2006). Therefore, the total number of 475476utterances (minus the utterances coded as extensions) per student within a team was used to

Table 2 Total humber	of utteran	ces per condi	tion, average i	number of un	erances per te	zam.		
Condition	n	Total	М	SD	Min	Max	Range	
Instruction with tool	11	5114	464.91	159.26	162	716	554	
Instruction only	11	5242	476.55	133.97	308	723	415	
Control	13	7056	542.77	342.09	191	1310	1119	
Total	35	17,412	494.74	211.77	220.33	916.33	696	

t2.1 **Table 2** Total number of utterances per condition, average number of utterances per team.

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Codes	Example
Level 1	
Domain	What is the formula of the effective value?
	That's true, because here the 0 is the middle of the sine wave.
Coordination	We should do this assignment together.
	I only see 2 rows of text.
Other	Nflsdfkjsd
	Oooh
Off-task	Time for beer!
	I'm going to watch Netflix
Level 2	
Responsiveness	
Response	Student A: [name of student B], that's incorrect.
1	Student B: What should it be? (response)
	Student A: Must also be calculated with Ohm's law (response)
	()
	Student B: What do they mean by efficiency?
	Student A: Loss, 100% efficiency means no loss. (response)
Extension	Student A: In a parallel circuit, it's $V1 = V2$
	Student A: etc. (extension)
	()
	Student A: yes,
	Student A: think you're right (extension)
Other	What's happening?
	Next assignment?
Tone	
Positive	Yes, saw it. Well done!
	Great teamwork, nice!
Negative	You're so stupid [name of student]
5	Pff., stop harping
Other	Go ahead
	I really don't know
Content	
Informative	27 indeed.
	I'm done
Argumentative	Best analogy? I think blood circulation, because this must be a full
	circulation, that's not the case with water and transportation.
	That's because you need I1, I2 and I3, so $3 \times 200 \text{ mA}$
Asking for information	Which factors do influence the cable resistance?
	Do you know what we should do here?
Critical statement	[Name of student], I think you didn't get it. It should not
	be truck, but the whole transportation cycle as the analogy.
	Shouldn't that be 15 V?
Asking for agreement	Do you agree?
<i>a b c c c c c c c c c c</i>	Shall we use just 1 power plant?
(Dis)confirmation	Yes, I agree.
·	No, I'm not ready yet
Active motivating	[Name of student], what do you think?
	Come on, we at least can try
Other	
- u.v.	Hmmm

calculate the Gini coefficient. This coefficient is a group-level measure and is often used to 477 measure (in)equality of participation (e.g., Janssen et al. 2007). For each team, it sums the 478 deviation of team members from equal participation. This sum is divided by the maximum 479

possible value of this deviation. The coefficient ranges between 0 and 1, with 0 indicating480perfect equality and 1 perfect inequality. In this case, perfect equality would mean a perfectly481equal distribution of utterances between team members, while perfect inequality would mean482that one member was responsible for all of the utterances within a team. A team with a high483number of utterances can have the same Gini coefficient as a team with a low number of484utterances as long as the distribution of utterances is similar within each team.485

#### Results

#### Chats

To investigate whether there were differences in individual students' communication activities 488 between conditions, multivariate analysis of variance (MANOVA) was conducted, with 489condition as the independent variable and the communication variables (i.e., domain-related 490talk, coordination-related talk, off-task talk, responsive talk, positive talk, negative talk, 491informative talk, argumentative talk, questions asking for information, critical statements, 492questions asking for agreement, (dis)confirmations, and motivating talk) as dependent. 493Results show an overall effect of condition on the communication variables, Wilk's 494  $\Lambda = .446$ , F(28, 152) = 2.70, p < .001,  $\eta_{p}^{2} = .332$ . Table 4 presents mean scores with standard 495deviations and summarizes the results of the subsequent univariate tests per commu-496nication variable. 497

Post-hoc analyses (Bonferroni) of the significant effects were performed to identify which 498 differences between conditions were significant. Results revealed that students in the *instruction* 499 *with tool condition when compared to those in the control condition* scored *significantly higher* on 500 the variables domain-related talk (p < .001), responsive talk (p = .024), critical statements 501 (p = .015), questions asking for agreement (p = .010), and (dis)confirmations (p < .001), and 502 *significantly lower* on the variables off-task talk (p = .024), negative talk (p = .002), and informative talk (p < .001).

t4.1 **Table 4** Mean scores (%) and standard deviations per communication variable and results of univariate tests by condition

	Instruction with tool $(n = 27)$		Instruction only $(n = 28)$		Control $(n = 37)$		Univariate tests		
	М	SD	М	SD	М	SD	F(2,89)	р	${\eta_p}^2$
Domain-related talk	31.91	10.33	21.68	9.33	20.97	7.20	13.79	< .001	.237
Coordination	40.47	10.82	42.80	8.79	43.52	26.42	0.22	.801	.005
Off-task talk	12.03	14.15	19.42	14.12	21.29	12.54	3.89	.024	.080
Responsive talk	24.74	10.93	18.54	7.58	18.28	9.52	4.32	.016	.089
Positive talk	3.68	2.81	3.47	2.03	2.73	2.54	1.33	.269	.029
Negative talk	2.21	2.32	6.39	6.66	7.03	6.08	6.67	.002	.130
Informative talk	54.43	7.32	60.59	7.67	62.44	8.46	8.36	< .001	.158
Argumentative talk	4.98	3.15	3.49	2.37	3.46	2.64	2.09	.060	.061
Questions asking for information	14.21	6.41	14.37	5.17	13.22	5.70	0.39	.678	.009
Critical statements	3.20	2.91	1.36	1.52	2.00	1.64	7.81	.001	.149
Questions asking for agreement	6.69	4.41	4.88	3.16	3.87	3.55	4.54	.013	.093
(Dis)confirmations	7.80	5.88	4.30	3.20	2.96	2.58	11.77	< .001	.209
Motivating talk	2.34	2.71	2.39	2.60	2.20	2.31	0.05	.948	.001

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**AUTHOR'S PROOF** 

In addition, students in the instruction with tool condition in comparison to those in the 505instruction only condition scored significantly higher on the variables domain-related talk 506(p < .001), critical statements (p = .001), and (dis)confirmations (p = .005), and significantly 507*lower* on the variables informative talk (p < .015) and negative talk (p = .017). 508

To gain insight into the equality of team members' participation, Gini coefficients between 509conditions were compared. These coefficients turned out to be relatively close to zero in all 510conditions (instruction with tool: n = 11, M = .11, SD = .08; instruction: n = 11, M = .10, 511SD = .04; control: n = 13, M = .13, SD = .08), indicating that the distribution of participation 512in all conditions was fairly equal. A Kruskal-Wallis test revealed no significant differences 513between conditions (H(2) = .160, p = .923). 514

#### Domain knowledge test

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Table 5 presents an overview of the mean pretest scores, posttest scores, and learning gains 516(posttest scores minus pretest scores) for every condition. Univariate analyses of variance 517(ANOVA) indicated no significant difference on pretest scores between conditions, F(2, 84) =5180.52, p = .595,  $\eta_p^2 = .012$ , which indicates that students in all conditions were comparable in 519terms of their prior knowledge. To calculate whether students' domain knowledge improved 520after the intervention, a paired samples t-test comparing pre- and posttest scores was performed 521for each condition. Results show that posttest scores were significantly higher than pretest 522scores in all three conditions (instruction with tool condition: t(24) = 5.93, p < .001, d = 1.19; 523instruction only condition: t(26) = 5.74, p < .001, d = 1.10; control condition: t(34) = 3.38, 524p = .002, d = 0.57), which indicates that, on average, students in all conditions did learn. In 525addition, an ANOVA with learning gains as dependent variable and condition as independent 526variable revealed that these learning gains differed significantly between conditions, F(2,52784) = 3.39, p = .038,  $\eta_p^2 = .075$ . Post-hoc comparisons (Bonferroni) showed that learning gains 528were significantly higher in the instruction with tool condition compared to the control 529condition (p = .038). 530

#### **Discussion and conclusion**

The unique contribution of this study is that the focus is not simply on the use of collaboration 532to optimize knowledge acquisition, but on how to affect students' collaborative behavior, and 533whether this behavior impacts students' knowledge acquisition. In the current study, two 534interventions to improve students' collaborative behavior and knowledge acquisition were 535compared to each other and to a control condition: instruction with a tool that facilitated joint 536

Condition	n	Pretes	st			Postte	est			Gain			
		М	SD	Min	Max	М	SD	Min	Max	М	SD	Min	Max
Instruction with tool	25	4.96	3.35	.00	12.66	7.58	3.73	2.08	15.16	2.63	2.21	-1.58	9.83
Instruction only	27	4.02	3.07	.00	10.08	6.09	3.28	1.25	11.99	2.08	1.88	-1.25	6.00
Control	35	4.55	3.52	.00	14.58	5.78	3.38	.50	13.33	1.23	2.16	-2.00	6.58
Total	87	4.50	3.32	00	14.58	6.39	3.50	.50	15.16	1.89	2.15	-2.00	9.8

**Table 5** Mean pretest scores posttest scores and learning gains (max = 25)

reflection through principles of self- and peer-assessment and goal setting (instruction with tool 537condition), instruction only (instruction only condition), and a condition where students 538received no instruction on collaboration and no tool (control condition). Both the instruction 539with tool and the instruction only conditions were designed to raise students' awareness of 540important factors that influence the quality of collaboration, but the former also included 541support that was designed to stimulate students to connect these characteristics to their own 542current behavior, identify gaps, and adjust their behavior accordingly (i.e., through goal setting 543or feed forward). 544

In general, the findings of this study show that only providing students with instruction on 545essential characteristics of collaboration benefits neither their collaborative behavior nor their 546knowledge acquisition, compared to providing no instruction (i.e., research question 1 and 3). 547 However, instruction combined with instructional support (i.e., the collaboration reflection 548tool) that prompts students to connect their experience with the instructed characteristics 549(through self- and peer assessment) and to collaboratively set goals to improve their collab-550orative behavior, does affect students' collaborative behavior and knowledge acquisition (i.e., 551research question 2 and 4). Based on the finding that collaborative behavior was affected and 552knowledge acquisition was improved we carefully conjecture that the designed tool can 553advance the quality of students' collaboration. 554

#### Effect on students' collaborative behavior

In the current study, in order to evaluate the effectiveness of the instruction and tool, the focus 556 was not on whether students knew how to behave—many people may know how to behave 557 without putting it into practice (the knowing vs doing gap)—but whether students actually 558 engaged in the desired behavior. 559

From the results of the chat analyses, it can be deduced that students who received both the 560instruction and the tool demonstrated relatively more behaviors that are related to higher 561quality collaboration in comparison to the control condition. These students dedicated a higher 562proportion (i.e., the proportion of a specific type of utterance out of the total number of 563utterances) of their communication to on-task activities (e.g., more domain related utterances, 564less off-task utterances), employed better social hygiene (e.g., less negative utterances, more 565often making responsive utterances, asked more frequently for agreement), and adopted a more 566critical attitude (e.g., more critical statements, shared more opinions about other students' 567 decisions). Specifically this last category, which can also be described as 'conflict- and 568integration-oriented consensus building' (i.e., critiquing another students' ideas or contribu-569tions, or actively adapting one's conceptions to include the ideas of peers) has been identified 570as predicting students' knowledge acquisition (Gijlers et al. 2009; Weinberger and Fischer 5712006). However, it must be noted that in the current study, in line with findings by Gijlers et al. 572(2009), students' critical utterances were rare. 573

It is noteworthy that students who received the instruction only, did not demonstrate any of 574the desired collaborative behaviors more often in comparison to students in the control 575condition. This is not in line with our expectations. Based on earlier studies, it was expected 576that students who received instruction would become more aware of effective collaborative 577behavior and adjust their behavior accordingly (Stenning et al. 1999; Rummel and Spada 5782005; Rummel et al. 2009; Gijlers et al. 2009). More specifically, Saab et al. (2007) and Gijlers 579et al. (2009) found that instruction on the RIDE rules led to more constructive communication 580in comparison to a control condition. However, the main difference between those studies and 581

the current study is that the collaboration in those studies was directed at dyads (not triads) and 582that they practiced the RIDE rules in the learning environment before proceeding to the task. 583Although practice was incorporated in the instruction on the RIDE rules in the current study, 584this practice was via questions that required students to provide examples from their own 585experience, and assessment of good and poor examples (chat excerpts). We can, however, 586argue that the current study did provide an extensive intervention (3 lessons of 90 min each) 587that should have provided sufficient time for students to get acquainted with the environment. 588Therefore, the influence that lacking that kind of practice with the rules might have on the 589results of the current study remains unclear. Future research could focus on the influence of 590group size on the effectiveness of this kind of instructional support. Another direction could be 591to look into the effect of repeated instruction in comparison to the repeated use of the tool and 592to compare students' collaborative behavior each time after the intervention is offered, as the 593quality of their collaboration might improve when their collaboration is repeatedly supported, 594due to internalization of desirable behavior (Vogel et al. 2017). 595

#### Effects on students' knowledge acquisition

Although the average posttest score of 6.39 out of 25 might be interpreted as relatively low, on 597 average, students in all conditions in the current study learned from the CSCL environment (as 598demonstrated by a significant knowledge gain in each condition). When considering that 599typical lessons in vocational education offer the learning material repeatedly over longer 600 periods of time, the learning results achieved within this short time frame can be considered 601 to be quite satisfactory. Nonetheless, it might be worthwhile to consider the effect on learning 602gains of how students were teamed for collaboration and whether other team compositions 603 might generate different results. For the current study, heterogeneous triads were composed 604based on their prior knowledge. This might have affected the observed learning gain, as 605 research on ability grouping has shown that contributions within heterogeneous groups are 606 often less equal than in homogeneous groups. This implies that the observed knowledge gain 607 might be a result not only of collaboration, but also of a teacher-learner relationship that might 608 have emerged between students with differing prior knowledge (Lou et al. 1996; Saleh et al. 609 2005). Although the Gini coefficients being close to zero indicates that the contribution within 610 the teams was in fact rather equal, which makes this interpretation somewhat unlikely, future 611 studies could control for this possible effect. 612

Students who received both the instruction and the tool were most successful in terms of 613 knowledge acquisition, as they showed significantly higher gains on the knowledge test than 614 students who received no instruction and no tool. We carefully conjecture that this is due to the 615fact that these students engaged in higher-quality collaboration than the other students did. 616 This would be in line with other findings that (good) collaboration has a positive impact on 617 learning (Dillenbourg et al. 1995; Barron 2003; Weinberger and Fischer 2006). As discussed 618above, several of the presented affected behaviors have been related to knowledge gain. 619 However, less direct behavior or qualities of communication such as 'tone' (e.g., being polite) 620 and supportive behavior are known to affect people's responsiveness, receptivity, and (depth 621 of) processing (Kirschner et al. 2015). We are therefore carefully arguing that it is a set of 622 behaviors, rather than a specific activity, that is responsible for the observed effect on 623knowledge acquisition. In the current study, only students' own chat activities were consid-624 ered; therefore, it is unclear how much of the other communications students were aware of 625 and how communication between other team members might have affected their involvement 626

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and learning. A future study might include eye-tracking measures to gain more insight into 627 students' processing of the chat communications within a team (i.e., whether or not certain 628 communications activities are observed by the different team members). 629

#### **Considerations and conclusion**

The outcomes of this study show the effectiveness of the described tool in combination with 631 conventional instruction. However, as yet, it is unclear whether all of the included working 632 633 mechanisms of the tool are equally essential for creating the described effect on behavior. The tool included two working mechanisms to improve students' reflections: peer assessment and 634 goal setting. It would be beneficial to understand which working mechanism generated what 635 effect and how. For instance, peer assessment was employed to foster reflection because it can 636 help overcome problems that arise from self-overestimation. In addition, whether there is 637 reflection or not, it has been shown that students can gain both knowledge and skills by 638 performing peer assessment (Gonzalez de Sande and Godino Llorente 2014; Strijbos et al. 639 2009). More specifically, research has shown that in peer assessment, what fosters learning is 640 not receiving feedback, but the activity of providing feedback (Lundstrom and Baker 2009). 641 This was demonstrated in a study by Li et al. (2010), in which they found a relation only 642 between the quality of provided feedback and learning, but not between the quality of received 643 feedback and learning. For the current study, this could mean that it matters less whether 644 students received correct assessments of their behavior than whether they provided high 645 quality assessments of their peers' behavior. In that situation, the activity of assessing 646 drives the students to think critically about their peers' behavior, which stimulates 647 awareness and could also trigger self-reflection. Future studies focusing on data about 648 students' assessment process and its quality can add to our understanding. A com-649 parison between the current tool and versions without either peer assessment or goal 650 setting can demonstrate whether both mechanisms are essential for the currently 651established effectiveness, and might also give further insight into whether students 652need additional support for these processes. Casual observations, for example, sug-653 gested that students were not very specific in their goal setting (i.e., they either stated 654that there was no need for improvement, or they suggested improving a particular 655activity, without specifying how and by whom this should be done). This might 656 indicate that the effectiveness of the tool can be further improved. More support tailored to 657 goal setting (which requires additional meta-cognitive skills) or specific instruction on how to 658 assess might optimize the tool's effectiveness. 659

It is important for the interpretation of the results that the quality of collaboration in this 660 study was measured by the relative frequency of communicative activities that are known to 661 contribute to effective collaboration. However, although these activities represent characteris-662 tics related to the quality of collaboration as defined by Saab et al. (2007), these characteristics 663 can also be observed in other collaborative behavior aside from communication. For instance, 664 turn-taking behavior could demonstrate respect. Nevertheless, as the chat was permanently 665 available, a likely assumption is that decisions regarding a task would have been discussed 666 within the chat. As a direction for future research, though, it would be interesting to understand 667 what behaviors students took into account when they assessed each other and how the tool 668 affected behaviors that did not become manifest in students' communication, such as the 669 contribution individual team members made to a task, their approach to tackling a task, and 670 turn-taking behavior. 671

In conclusion, the current study demonstrated the effectiveness of an intervention that used 672 instruction and joint reflection by means of self- and peer assessment and goal setting 673 to improve the quality of students' collaboration and their knowledge acquisition. 674 From the results, it can be concluded that this combination is successful. This study 675 makes a contribution to the research in this area, as studies measuring the direct effect 676 of interventions on the quality of students' collaboration are scarce. In addition, the 677 tool in this study provides a practical, effective, and time-efficient solution for schools 678 that can help support students' collaboration skills. An advantage here is that the tool 679 was designed for generic application. More concretely, it can be used in other CSCL 680 environments, and also as a supplement to face-to-face collaboration. An interesting 681 focus of future studies could be to investigate whether the observed improvement in 682 the quality of collaboration appears to be sustainable (i.e., whether students have 683 internalized the desired behavior), either in a similar CSCL environment or in a face-684 to-face or workplace setting. 685

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