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Toward a dialectic relation between the results in CSCL: Three critical methodological aspects of content analysis schemes

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Abstract The research field of Computer-Supported Collaborative Learning (CSCL) 11 includes a large variety of approaches which present significant theoretical and 12methodological differences. This diversity complicates the articulation of the knowledge 13that is produced within this investigative framework. The paper addresses this problem 14 from a dialectic view. We propose that the main reason for this problem is not the 15theoretical and methodological diversity itself, but rather the difficulty of situating one 16 specific result within this diversity in a way that makes dialectic relations between results 17visible and mutual transformation of the approaches possible. In the present paper, we 18 propose a set of indicators, applicable to content analysis approaches, aimed to facilitate 19 this reciprocal positioning of the results in the field. These indicators come from what we 20term "critical methodological aspects": those aspects of the methodological infrastructure 21that are directly related to theoretical positions. We consider three critical methodological 22aspects in content analysis schemes: the units of analysis, the relations to be established, 23and the dimensions of analysis. Indicators regarding these aspects are proposed and defined, 24and their use for facilitating dialectical relations between results is exemplified by means of 25the examination of five specific approaches. 26

Keywords CSCL · Content analysis · Critical methodological decisions · Dialectics

Introduction

This paper addresses the problem of the articulation of results in CSCL research. This field30is characterized by a wide theoretical and methodological diversity between the various31approaches. This diversity, we propose, is an intrinsic feature of the field; it arises from the32

M. Clarà (⊠) · T. Mauri Department of Developmental and Educational Psychology, University of Barcelona, Passeig de la Vall d'Hebron, 171, 08035 Barcelona, Spain e-mail: marc.clara@ub.edu very genesis and nature of the field itself. The field of CSCL refers to a set of theoretical, methodological and empirical approaches to the situations of teaching and learning which involve some type of collaborative use of the information and communication technology (ICT). Therefore, what defines the field is the object of study—a specific use of ICT in teaching and learning situations—not the theoretical view of this object, or the way of analysing it. That is why the approaches to this object can be theoretically and methodologically very diverse. 39

Theoretically, it can be stated that the epistemological position of most approaches to 40 CSCL is constructivist (Redmond and Lock 2006; Schellens and Valcke 2006), and that 41 they conceive social interaction as a key element of learning (Stahl et al. 2006). Beyond 42these two statements, however, there are important differences between the theoretical 43 premises of the approaches (Schellens and Valcke 2006; Stahl 2005; Woo and Reeves 44 2007). Recent literature has identified the need to create a clear and articulated theoretical 45basis for the field (Naidu and Järvelä 2006; Resta and Laferrière 2007). Methodologically, it 46 seems clear that the majority of the approaches analyze, in one way or another, the 47 interaction between participants. For this purpose, content analysis is widely used in the 48CSCL field (Strijbos and Stahl 2007). According to Krippendorff (1980, p. 21), content 49analysis is "a research technique for making replicable and valid inferences from data to 50their context." This technique permits the transformation of qualitative aspects of text or 51communication into manipulable codes that can be treated by quantitative procedures. This 52specificity, which is the main potentiality of the technique, situates content analysis in 53between pure quantitative and qualitative approaches to social sciences (Woodrum 1984). 54Between these two poles is a large spectrum of approaches that use content analysis in very 55different ways. This is the case also in the CSCL field, and as a consequence, the content 56analysis schemes used in the field present major differences (Resta and Laferrière 2007). 57

Furthermore, the recent literature has highlighted deficiencies in the validity of the 58content analysis instruments used in CSCL (Rourke and Anderson 2004). For the purpose 59of the present paper, the deficiencies in what can be called *construct validity* (in more 60 quantitative approaches) or content validity (in more qualitative approaches) are especially 61 important. By construct/content validity, we mean the coherence between the analytical 62infrastructure of an approach and the theoretical constructs or phenomenon that this 63 infrastructure tries to describe (Rourke and Anderson 2004; Krippendorff 1980). The lack 64 of construct/content validity makes the articulation of knowledge in a scientific field 65especially difficult. The deficiencies in this type of validity lead to the mislocation of results 66 in relation to the theoretical elaboration of the field, because the empirical answers of an 67 approach may not correspond to its theoretical questions. These deficiencies have been 68 identified in CSCL by several authors (e.g., Rourke and Anderson 2004; Weinberger and 69 Fischer 2006). After a review of content analysis schemes in CSCL research, De Wever et 70al. (2006, p. 23) concluded that: 71

Although elements of the theoretical background are mentioned in all cases, not all73studies present a clear link between the theory and the instruments. In this respect, the74importance of systematic coherence is to be stressed. Some instruments elaborate the75operational definition of theoretical concepts, while this is missing in other76instruments. From the overview it is also clear that a number of researchers build77on earlier work, but at the empirical level, links are hardly made between the new and78previous analysis approaches.79

With this state of affairs, several authors have stressed the difficulty of building 81 knowledge in the field of CSCL (Resta and Laferrière 2007; Suthers 2006). In the present 82

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paper, we will try to address this difficulty from a dialectic approach. Our main argument is that 83 the diverse approaches to the field must be related dialectically, since they share the same object 84 of study. From this perspective, we propose that the problem of the articulation of the results in 85 the CSCL field does not lie in the diversity of the field itself, but in the difficulty of reciprocally 86 positioning the results in a way that identifies their theoretical and methodological tensions 87 clearly and reliably, and permits the dialectic development of the approaches to the field. The 88 aim of this paper is to offer a set of indicators which facilitate this reciprocal positioning of the 89 results and, thus, the dialectic development of the field. These indicators are applicable to results 90 from approaches that use content analysis to study the interaction. 91

The paper is in six sections (including this introduction). In the next section, we briefly 92present our epistemological understanding of the development of knowledge in a scientific 93 field and define more exactly the aim of the paper. In the third and fourth sections, we 94propose a set of indicators, applicable to results from content analysis techniques, as a tool 95for locating results within the CSCL field and facilitating its dialectic development. In the 96 fifth section, we offer an example of how these indicators can facilitate the reciprocal 97 positioning of the results and contribute to the dialectic development of the approaches. 98Finally, we highlight the main ideas and limitations of our proposal. 99

A dialectic approach to the problem of construction of knowledge in CSCL 100

We understand dialectics in a Hegelian sense, as a tension between *different* entities which 101 are inseparable and in constant mutual transformation. The crucial point of this view is that 102these tensions, or contradictions, are not external to things, but are internal to them and 103constitute the very essence of their existence: "a thing is anything 'in itself' only because it 104 is something for other things, by acting or appearing in connection with something else" 105(Dietzgen, cited in Tolman 1981, p. 37). Thus, from a dialectic view, everything is 106inherently contradictory, and these internal tensions are the essence of the existence of the 107 thing, of its motion, and of its development. Development is understood as "movement that 108is *self-movement*, i.e., movement originating in the contradictions (struggle of opposites) 109inherent in the developing entity" (Tolman 1981, p. 39). That is why from a dialectic 110perspective, synthesis is not the overcoming of tension, nor is it the reconciliation of 111 opposites, but the constant relation and mutual transformation of opposites: It is the 112opposites in tension as an inseparable unity, as the essence of the existence of the thing 113(Tolman 1981, p. 43). 114

For the purpose of the present paper, the "thing" is the scientific field of CSCL. Our 115view of how dialectics can be used for understanding the development of a scientific field is 116strongly based on Vygotsky's foundational work on this issue: The Historical Meaning of 117 the Crisis in Psychology: A Methodological Investigation (Vygotsky 1997). An in-depth 118 epistemological discussion about the development of the CSCL field is beyond the scope of 119this paper. For our purposes, however, we aim to stress here three main points that underlie 120121our epistemological view. The first point is the necessity of the existence of theoretical 122tensions in the field as the essence of its existence. This idea argues against the dominance of one simple theoretical approach over the others in such a way that the theoretical tensions 123disappear. Vygotsky (1997, pp. 245–246), referring to psychoanalysis, behaviorism, Gestalt, 124and personalism in the psychology of the 1930s, wrote: 125

Each of these four ideas is extremely rich, full of meaning and sense, full of value and fruitful in its own place. But elevated to the rank of universal laws, they are worthy of 128

each other, they are absolutely equal to each other, like round and empty zeros.129Stern's personality is a complex of reflexes according to Bekhterev, a Gestalt130according to Wertheimer, sexuality according to Freud. [...] After all, to try and131explain everything means to explain nothing.132

The second point to stress is that the results of different theoretical and methodological 134 approaches cannot be directly and simply integrated. This claim is based upon the idea that 135 results are not pure facts, but rather approaches to facts: 136

Any fact which is expressed in each of these three systems [psychoanalysis,138behaviorism and subjective psychology] will, in turn, acquire three completely139different forms. To be more precise, there will be three different forms of a single fact.140To be even more precise, there will be three different facts. (Vygotsky 1997, p. 238)141

Therefore, a direct and simple integration of results from different approaches would 143 lead to an eclectic theory, sustainable on the surface but inconsistent at its base. 144

The third important point is that different approaches are dialectically related because of 145 the existence of colliding facts between them. The presence of a colliding fact does not 146 permit the integration of results from different approaches, but it implies a dialectic tension 147 between such results: 148

After all, we remember that the foreign principle penetrated into our science via a130bridge of facts, via really existing analogues. Nobody has denied this. [...] ...the151critique of these facts, the critique of the principle itself, draws still other new facts152into the scope of the science. The matter is not confined to the facts: the critique must153provide an explanation for the colliding facts. The theories assimilate each other and154on this basis the regeneration of a new principle takes place. (Vygotsky 1997, p. 280,155original emphasis)156

So the dialectic tensions between results are the engine of the development (as selfmovement) of the scientific field, the inherent internal contradictions that lead to its movement. We propose that in CSCL, these dialectic tensions are not visible enough, and this hinders the development of the field. In other words, it is not easy to see how a specific result has implications for specific results from different approaches. These implications can only be seen if the different results are reciprocally positioned in a clear and reliable way. 163

If we consider the results from CSCL approaches using content analysis, this reciprocal 164positioning cannot be done by means of their explicit theoretical postulates because of the 165lack of construct/content validity of some of the instruments used. Nor can the results be 166 located from an exclusively methodological point of view—that is, reliability, sampling, 167validity, and so forth—because this does not permit a theoretical positioning. Our proposal 168is that there are certain aspects of the methodological infrastructure of analysis that are 169theoretically critical: There are certain methodological decisions that are a direct 170consequence of a specific theoretical position, be it explicit or not. These decisions, 171 therefore, can be used as indicators for reciprocally locating the results of the field, by 172overcoming the problem of construct/content validity. 173

Three critical methodological aspects

In this section and the next one, we will propose a set of indicators aimed to facilitate the 175 reciprocal location of the results from approaches that use content analysis in the CSCL 176 field and, thus, the dialectic development of these approaches. Although our view of the 177

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construction of knowledge in the field of CSCL is not limited to results from content 178 analysis, the tool-the set of indicators-that we propose in the present paper is only 179applicable to results of this kind. This set of indicators is proposed based on the idea that 180 the decisions about certain methodological aspects of an approach are directly related to 181specific theoretical postures. Thus, the specific decisions of an approach to these critical 182methodological aspects tell us a great deal about the (actual) theoretical postulates of the 183approach. Because a result comes directly from the application of the methodological 184 infrastructure, this result responds theoretically to the postulates embodied in this 185infrastructure. In the present section, we will propose three critical methodological aspects 186of content analysis schemes. These aspects are not our indicators; the indicators are the 187 specific decisions about these aspects. In this section, we will argue why the specific 188 decisions about these aspects can tell us a great deal about the theoretical position of 189approaches, and what information we can obtain from the examination of these decisions. 190In the next section, we will offer a set of possible specific decisions (indicators) regarding 191 192these aspects.

As we have said, the theoretical perspectives in the field of CSCL are many and varied. 193 In fact, to some extent, they are incompatible. Broadly speaking, one can distinguish two major positions regarding the "theoretical level" in which learning is understood (Suthers 2006; Stahl 2005): theoretical perspectives that understand learning as an individual phenomenon, and theoretical perspectives that consider the group, the inter-mental activity, 197 as the main agent of learning. According to Stahl (2005, p. 83), these two extremes 198 constitute a continuum along which different perspectives can be located: 199

At one extreme of the spectrum, collaboration is only valued to the extent that it200results in learning outcomes for individual minds. At the other extreme, collaborative202learning can benefit a whole community of practice by developing cultural artefacts203like theories. Intermediate positions may acknowledge that benefits accrue at group204and individual levels in parallel, through reciprocal influences.205

207 In addition to different positions regarding the nature of individual-group learning, in the field of CSCL there are also different positions with regard to the context in which learning 208takes place. On the one hand, there are different positions regarding the role given to the 209context in the learning process, which expand the continuum to individual-group-context. 210On the other hand, there are different positions on the conception of "context" itself. In this 211respect, Cole (1996) differentiates between two possible conceptualizations of the 212"context": first, the context as that which surrounds, allowing a differentiation of levels 213of context that are more or less macro; and second, "context" as that which intertwines. In 214this last conceptualization of the "context," emphasis is put on the dialectic relation 215between the "context" and the primary focus of analysis: The focus of analysis and the 216context are not separated but entwined, so that one is based on the other. These two 217conceptualizations can also be seen as two extremes of a continuum on which different 218approaches can be situated. At one extreme we find, in the field of CSCL, the approaches 219220that address the technological design of the environment as an independent variable that influences learning. At the other extreme, we find those approaches that consider the 221context as intrinsic and constituent of the learning process. 222

The location of an empirical approach in the theoretical continuum individual-groupcontext has at least two fundamental impacts on the infrastructure of analysis: the unit of analysis, and the search for relations between these three "theoretical levels." 225

The choice of the unit of analysis is a methodological decision that has direct theoretical 226 implications. The consideration of the group as the key agent in learning implies using units 227

of analysis that include inter-mental activity. That means that the unit, the segment that is 228categorized, has to be a relation between different participants; it has to include an 229interaction between different people, and all this interaction has to be considered as a unit. 230Otherwise, if the unit is an action of a participant, then what is being considered 231 theoretically in learning is the individual. The theoretical consideration of the context 232implies the use of units that include elements that go beyond the specific individual actions 233 and inter-mental activity in which the analysis of learning process is focused (Arnsenth and 234Ludvigsen 2006). 235

However, the theoretical discrepancies between different perspectives lie not only in 236which "theoretical levels" are considered, but also in how they are considered in relation to 237learning (Stahl 2005; Suthers 2006). Therefore, the relations that are sought between 238"theoretical levels" are also direct indicators of theoretical positions. If learning is 239understood as an individual process, the focus has to be on the individual level, but the 240other levels can be considered for relating them to what is happening in the individual. If, 241 on the other hand, learning is understood as a group process, then the focus has to be on the 242 group level; the individual level could be considered in order to explain what is happening 243in group learning. If, instead, learning is understood at the same time as an individual and 244group process, then these two levels have to be considered in an integrated way and 245bidirectional relations have to be sought. 246

Beyond the "theoretical levels" and their relations, there are also discrepancies in the 247conception of learning itself: Different theoretical positions disagree regarding the 248"theoretical elements" that they consider as crucial in the learning process. The theoretical 249positioning on this issue has a direct impact on the infrastructure of analysis: the choice of 250the dimensions of analysis. These dimensions of analysis are an operationalization of the 251"theoretical elements" that are considered relevant for the explanation of the phenomenon. 252This operationalization can take place in different ways (Gerbic and Stacey 2005), but the 253choice of a specific dimension in the analytic infrastructure always indicates a positioning 254with regard to the relevance of a "theoretical element." 255

In summary, we propose that the methodological decisions in the analytic infrastructure 256 about the units of analysis, the search for relations between theoretical levels, and the 257 dimensions of analysis are valid indicators for the theoretical positioning of the empirical 258 approaches to CSCL, and therefore, of their results as well. 259

Decisions regarding the critical methodological aspects: A set of indicators

As we stated in the previous section, the decisions about the three critical methodological 261aspects in the analytic infrastructure can be used as indicators for reciprocally locating specific 262results in the field of CSCL. In this section, we present and define generically some habitual 263decisions in CSCL analytic infrastructures concerning the unit of analysis, the relations that are 264sought between theoretical levels, and the dimensions of analysis. In the next section, we will 265offer an example of how these indicators can be used to facilitate the reciprocal positioning of 266specific results and permit the dialectical development of the field. The set of indicators based 267on the critical methodological aspects are offered in Table 1. 268

Decisions regarding the unit of analysis

As we mentioned in the previous section, the decisions about units of analysis indicate the 270 "theoretical levels" that are considered in the conceptualization of learning processes. We 271

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Critical methodological aspect	S	Common alternatives in the field of CSCL		
Units of analysis	Individual level	Posting		
		Conversational turn		
		Sentence		
		Unit of meaning		
		Argumentative move		
		Illocutionary act		
		Movement		
	Group level	Exchange		
		Discussion		
	Context level	Context associated with a posting		
Search for relations between	Inter-level	$Context \rightarrow group$		
theoretical levels ^a		Individual \rightarrow group		
		$Context \rightarrow individual$		
		$Group \rightarrow individual$		
		$Group \leftrightarrow individual$		
	Intra-level	Individual \rightarrow individual		
		Group \rightarrow group		
Theoretical elements	Elements regarding the nature of the	Theme		
considered in the dimensions of analysis	meanings used by participants	Epistemological source		
unitensions of analysis	Elements regarding the interaction	Negotiation of meanings		
	between participants	Perspective taking		
		Argumentation		
		Responsivity		
	Elements regarding the cognition	Cognitive functioning		
	of participants	Critical thinking		
	Elements regarding the function of	Epistemic activity		
	participants' actions in the learning process	Regulation of the learning process		
		Educational assistance		
	Elements regarding the context in	Community		
	which the interaction takes place	Contextual resources		
		Pedagogical and technologica design		

^a The arrows indicate the direction of the relations that are sought between theoretical levels

will establish that a unit of analysis is an indicator of the theoretical consideration of the 272 individual level if it refers to an individual action. Otherwise, a unit of analysis will be an 273 indicator of the theoretical consideration of the group level if it refers to a *relation* between 274 individual actions of different participants. Finally, we will establish that a unit of analysis 275 is an indicator of the theoretical consideration of the context level if the unit refers, not to 276 participants' actions, but to elements of the environment or the situation in which such 277 actions take place. 278

We offer below a compilation of ten units of analysis that are usual in the field of CSCL.279Seven of these units are indicators of the individual level, two of them indicate the280theoretical consideration of the group level, and one of them indicates the consideration of281the context level. Because the designations of the same unit may vary in different282approaches, we will adopt designations that we consider generic.283

Units of analysis that indicate the theoretical consideration of the individual level

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- The *posting* is a unit of a technological nature. We define it as the entry of a text in an asynchronous written communication environment. Many approaches call this unit the "message" (see, e.g., De Wever et al. 2006; Rourke et al. 2001). However, we prefer 288 "posting," in order to avoid possible terminological confusions with other units of a 289 semantic nature, or pragmatic nature, which some approaches also label as "message" (e.g., Coll et al. 1995). The use of "posting" as a unit of analysis is widespread in CSCL. 292
- The *conversational turn*, unlike a posting, is a unit of analysis of a conversational 293 nature. In a conversational interaction, the change of "conversational turn" is 294 determined by the change, or the sign of a possible change, in the participants 295 producing the discourse. Some authors consider the conversational turn and the posting 296 as equivalent units (e.g., Vaughan and Garrison 2005). This unit is used, for example, 297 by Beers et al. (2007b), or by Schrire (2006). 298
- 3) The *sentence* is a unit of analysis of a syntactic nature. Strijbos et al. (2006, p. 37) 299 define the unit as "a sentence or part of a compound sentence that can be regarded as meaningful in itself, regardless of meaning of the coding categories." 301
- 4) The *unit of meaning* is a unit of analysis of a semantic nature. It can be defined as the minimum unit in which a consistent "theme" or "idea" can be identified (De Wever et al. 2006, p. 9). This unit is used, for example, by De Laat et al. (2007).
- 5) The argumentative move is a unit of analysis of argumentative nature. It can be defined 305 as the minimal unit that constitutes an argumentative claim. We can find an example in 306 Weinberger and Fischer (2006).
- 6) The *illocutionary act* is a unit of analysis of a pragmatic nature. It is defined by Rourke 308 et al. (2001) as the minimum unit with a defined purpose: A change in purpose sets the 309 parameters for the unit. Some of the approaches that use this unit are Arvaja et al. (2007), or Pata et al. (2005). 311
- The *movement* is a unit of analysis of a pragmatic nature. Following Wells (1999), it 312 can be defined as the minimum unit with a complete interactive sense. It is the minimal 313 unit of discourse by a locutor that deserves a response from the interlocutor. This unit 314 is used in the CSCL field by, for example, Schrire (2006). 315

Units of analysis that indicate theoretical consideration of the group level

- 8) The exchange between conversational turns (or other individual actions) is a unit of analysis of a conversational nature. It is defined as the set of conversational turns (or other individual actions) that respond to each other. This unit is used in CSCL, for example, by Schrire (2006), by Zemel et al. (2007), or by Zumbach, Reimann, and Koch (2006).
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- 9) The *discussion* is a unit of analysis of a thematic nature. We define it as the interaction 323 carried out by the different participants and which revolves around an element of the 4324 task. This unit is used by Häkkinen and Järvelä (2006), Stein et al. (2007), and Pata et 325 al. (2005), among others. 326

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Units of analysis that indicate theoretical consideration of the context level

10) The *context associated with a posting* is used as the unit of analysis by Arvaja et al. 328 (2007). It can be defined as the characteristics of the environment which allow the 329 comprehension of a participant's specific individual action. 330

Decisions regarding the search of relations between theoretical levels

As we have pointed out, another of the theoretical discrepancies in the field of CSCL is the kind of relations that are sought between "theoretical levels" in the analysis. With regard to this issue, we have divided the options into two groups: approaches that search for relations between different theoretical levels; and approaches that search for relations in the frame of one and the same theoretical level. 337

Search for relations between different theoretical levels

- 1) Context level \rightarrow Group level. The search for relations of this kind implies understanding 340 the learning process, at least in part, as a group process. This search indicates that 341 theoretically it is considered that some aspects of the context level have a direct influence on 342 learning, which takes place at the group level. We can find some examples in the approaches 343 of Häkkinen and Järvelä (2006), Lai and Law (2006), or Zumbach et al. (2006). 344
- Individual level → Group level. As in the previous case, the search for this relation implies 345 situating the learning process in the group. This option indicates that it is considered that individual actions directly influence the group learning process. We can find some examples 347 in the approaches of Mazzolini and Maddison (2007), and Pata et al. (2005). 348
- 3) Context level → Individual level. The search for this relation implies understanding the learning process, at least in part, as an individual process. This alternative indicates that theoretically it is considered that certain aspects of the context level have a direct 351 influence on the learning that takes place at the individual level. This option is relatively widespread in the field of CSCL. Some examples are the approaches of De 353 Wever et al. (2007), Jeong and Joung (2007), Puntambekar (2006), Sparatiu et al. 354 (2007), and Schellens and Valcke (2006).
- 4) Group level → Individual level. As in the previous case, the search for this relation 356 implies situating the learning process at the individual level. Nevertheless, this 357 alternative indicates the theoretical consideration that the individual learns partly 358 because of the group activity. Therefore, from this position, certain aspects in the group 359 level may have a direct influence on individual learning. 360
- 5) Group level ↔ Individual level. This kind of search implies the consideration of learning as an individual and a group process at the same time. Relations between the group and individual levels are reciprocally considered. An example of this option can be found, partly, in Schrire (2006).
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Search for relations at one and the same theoretical level

- 6) Individual level → individual level. Several approaches in CSCL explore the relations 366 between different elements at the individual level. Examples can be found in Ho and 367 Swan (2007), Tseng and Tsai (2007), and De Wever et al. (2007) 368
- 7) Group level → group level. There are also approaches that explore the relations 369 between different elements at the group level. An example is the approach of Häkkinen 370 and Järvelä (2006). 371

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Decisions regarding the theoretical elements in the dimensions of analysis

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As we argued in the third section, the choice of the dimensions of analysis is a consequence of 373 the operationalization of the "theoretical elements" that are considered relevant for the 374explanation of the phenomenon. However, these elements may be gathered in the dimensions in 375very different ways. For example, two or more elements may be included in one and the same 376 dimension, or one element may be operationalized as a sub-dimension of another element, and 377 so forth. Moreover, dimensions that reflect the same "theoretical elements" often receive 378 different names. For example, the theoretical element "negotiation of meanings" (see the 379 definition below) is operationalized through different approaches by dimensions called "social 380 construction of knowledge" (Gunawardena et al. 1997), or "interactivity" (Sparatiu et al. 2007), 381 or "social modes of co-construction" (Weinberger and Fischer 2006). Another example is the 382approach of Schellens and Valcke (2006), in which the element "negotiation of meanings" is 383 operationalized through the dimension "task-oriented communication" (p. 355). The first 384 category of this dimension is "presentation of new information," which is then subcategorized 385 according to the theoretical element "epistemological source"—see the definition below—by 386 using the categories "facts," "experience," and "theory." Here we will not dwell on the 387 different options of organization and designation of dimensions, but focus on the "theoretical 388 elements" that, in one way or another, these dimensions reflect. Below we offer a set of 389 "theoretical elements" that, in different combinations, are often considered by the field of 390CSCL in terms of the dimensions of analysis. We classify these elements in five groups: 391 elements regarding the nature of the meanings used by participants; elements regarding the 392 interaction between participants; elements regarding the cognition of the participants; elements 393 regarding the function of actions in the learning process; and elements regarding the context of 394interaction. We will intentionally use generic designations and definitions that, therefore, 395 admit different kinds of operationalization in the specific dimensions of each approach. 396

Theoretical elements regarding the nature of the meanings used by participants

- 1) *Theme*, referring to *which* meanings are the objects of the participants' discussion. 399 Theme is considered, for example, in the approach of Arvaja et al. (2007). One of the 400 thematic categories used by these authors is "reading comprehension," which the 401 authors apply when they consider that this is the topic that the participants are talking 402 *about.* In the paper, they offer the example of two different messages that are assigned 403with this category (p. 451). Other thematic categories used by these authors are "means 404 to develop reading comprehension," "concrete examples relating to means to develop 405reading comprehension," and "different methods for teaching to read" (pp. 453–455) 406
- 2) *Epistemological source*, which refers to the epistemological basis that participants use 407 to construct their statements. *Epistemological source* can be found, for example, in the 408 approach of Häkkinen and Järvelä (2006), by means of a part of the dimension "types 409of messages." In this example, the authors use the categories "theory" and 410 "experience" in order to characterize which is the source of the meanings used by 411 the participants-theory based or experience based (Häkkinen and Järvelä 2006, 412 p. 437; see also Järvelä and Häkkinen 2002). Another example is in the approach of 413Schellens and Valcke (2006), which considers this element by means of a 414 subcategorization of the category "presentation of new information," which corre-415sponds to the dimension "task-oriented communication" based on the proposal of 416 Veerman and Veldhuis-Diermanse (2001). In this case, the categories used are "facts," 417 "experience," and "theory" (Schellens and Valcke 2006, p. 355). 418

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Theoretical elements regarding the interaction between participants

- Negotiation of meanings, which refers to how different participants consider other 420 participants' meanings in order to discuss and co-construct individual and group 421 meanings. The consideration of this element is widespread in the field of CSCL. 422 Examples can be found in the approach of Sparatiu et al. (2007), via the dimension 423 termed "interactivity" (p. 92), and in the approach of Lai and Law (2006), via the 424 dimension termed "engagement in knowledge building" (p. 135), based on the 425 categories of Gunawardena et al. (1997).
- 4) Perspective taking, which refers to the perspective toward the others from which the 427 negotiation of meanings takes place. This element is not the same as the negotiation of 428 meanings. Perspective taking is not about how participants consider the contributions 429of others to their own contributions, but how participants can think from the other's 430position and how they change (or not) the place or perspective for negotiating 431meanings. The approach of Häkkinen and Järvelä (2006), for example, considers this 432 element by means of categories based on Selman's development of Piaget's ideas, 433 which are defined in Järvelä and Häkkinen (2002, p. 21). 434
- 5) Argumentation, which refers to how the participants defend a specific position in relation to a meaning. Argumentation is considered, for example, in the approaches of Jeong and Joung (2007) with categories based on Toulmin's model of argumentation (p. 433), and in Weinberger and Fisher (2006), who also use categories based on Toulmin's model and other categories based on Leitao (pp. 75–77).
- 6) *Responsivity*, which refers to the responsive relation between different participants' 440 contributions. Some examples can be found in Mazzolini and Maddison (2007), who 441 use categories such as "question," "answer," and "answer plus question" (p. 206) or in 442 Schrire (2006), who uses categories based on Wells' (1999) version of the I-R-E 443 conversational structure (Schrire 2006, p. 54, 60). 444

Theoretical elements regarding the cognition of participants

- 7) Cognitive functioning, which refers to the nature of mental processes. This element is 447 considered, for example, in the approach of Schrire (2006, pp. 54–55), by means of the dimensions "level of cognitive processing," based on Bloom et al. (1956), and 449 "structural complexity reflected in writing," based on the SOLO Taxonomy (Biggs and Collis 1982).
- 8) Critical thinking, which refers to the process of inquiry in which learners are involved 452 when they learn. This is a central theoretical element, for example, of the proposals of 453 Vaughan and Garrison (2005, p. 5), and Stein et al. (2007, p. 106), by means of the 454 dimension "cognitive presence," based on Garrison et al. (2000). The categories used 455 are "triggering event," "exploration," "integration of ideas," and "resolution" (Garrison 456 et al. 2000, pp. 98–99).

Theoretical elements regarding the function of participants' actions in the learning process 458

- 9) Epistemic activity, which refers to the impact of an individual or group action in the resolution of the task. An example of the consideration of this element can be found in Weinberger and Fischer (2006), who use categories such as "construction of problem 461 space," "construction of conceptual space," and "construction of relations between 462 conceptual and problem space" (p. 74).
- 10) *Regulation of the learning process*, which refers to *how* the participants intervene 464 with the intention of regulating or managing the process of collaborative learning. We 465

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find an example in Beers et al. (2007b), who use "regulation of the problem solvingprocess" and "regulation of the conversation" as categories (pp. 431–432). Järvenoja and Järvelä (2009), instead, focus on the regulation of another specific aspect of learning, emotion, and categorize this regulation as "self-regulation," "othersregulation," or "shared-regulation" (p. 468). 460

11) Educational assistance, which refers to how the participants intervene with the 471 intention of securing the success of the other participants in the learning process. The 472 approach of Tseng and Tsai (2007), for example, reflects this element by means of 473 the dimension "learning feedback," based on the four categories of Chi (1996): 474 corrective feedback, reinforcing, didactic, and suggestive (Tseng and Tsai 2007, 475p. 1167). Another example can be found in De Smet et al. (2008), which reflects this 476 element by using the categories of Salmon (2000). These authors use categories as, 477 for example, "support to socialization" or "support to knowledge construction" (De 478 Smet et al. 2008, p. 210, 213). 479

Theoretical elements regarding the context in which the interaction takes place 489

- *Community*, which refers to the collective nature of all the participants together in the 482 12) interaction. It is considered, for example, in the approach of Stein et al. (2007), via the 483dimension "social presence" proposed by Rourke et al. (1999). These authors 484 consider the nature of the community from the point of view of the nature of the 485responses between the members of this community. For example, Rourke et al. (1999) 486 establish three categories for this purpose: affective responses, interactive responses, 487 and cohesion responses. By considering the proportions of these three kinds of 488 response in the collaboration, they characterize the nature of the community. 489
- 13) Contextual resources, which are "those aspects of the potential context that the participants make relevant in the ongoing activity" (Arvaja et al. 2007, p. 450). An example of the consideration of this theoretical element in a CSCL approach can be found in Arvaja et al. (2007), who uses the categories proposed by Linell (1998): co-text, surrounding concrete situation and background knowledge (Arvaja et al. 2007, p. 451). 494
- 14) Pedagogical and technological design, which refers to the aspects of the instructional 495design. It is considered by most of the approaches to CSCL, sometimes as an 496independent variable (e.g., De Wever et al. 2007; Jeong and Joung 2007; Sparatiu et 497al. 2007; Strijbos et al. 2007; Zumbach et al. 2006). This element is, in general, not 498 included in the content analysis scheme, but is considered in the analytical 499infrastructure by the vast majority of approaches to CSCL that use content analysis. 500Variables that are usually considered are the type of communication (synchronous or 501asynchronous), the group size, the level of pre-structuring of the interaction, and 502technological support. 503

In the following section, we examine five approaches to CSCL via the indicators we 504 have just established regarding the critical methodological aspects. 505

The critical methodological aspects: An example

The aim of this section is to try to illustrate how to use the indicators we have proposed and 507 to show how they can help the dialectic development of approaches. Our intention is to 508 show how apparently theoretically distant approaches are in dialectic relation, and how 509 apparently theoretically close approaches embody important differences that have to be 510

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taken into account for relating their respective results. For this reason, we have selected two 511 approaches based on very similar explicit theoretical positions, and three others that are 512 based on different explicit theoretical postulates. 513

The approaches that we will examine here are the following: the approach of Schrife (2004, 5142006), clearly based on Vygotskian postulates; the approach of Garrison and colleagues 515(Garrison et al. 2000; Garrison and Arbaugh 2007), based on Dewey and Communities of 516Inquiry; the approach of Weinberger and colleagues (Weinberger and Fischer 2006; 517Weinberger et al. 2005), based on Toulmin, Leitao, and argumentative discourse; the 518approach of Pata and colleagues (Pata et al. 2005), based on the concept of common ground; 519the approach of Beers and colleagues (Beers et al. 2005, 2007b; Beers et al. 2007a), also 520based on the concept of common ground. 521

Let us consider the analytic infrastructure of these five approaches by means of the 522indicators that we have established (Table 2). With regard to the "theoretical levels," we can 523see that two approaches (Schrire & col. and Pata & col.) consider individual and group 524levels. Two other approaches (Beers & col. and Weinberger & col.) consider individual and 525context levels. Finally, one approach (Garrison & col.) considers only the individual level. 526Regarding the "search for relations between theoretical levels," we can observe that the two 527approaches that consider the context level (Beers & col. and Weinberger & col.) search for 528direct effects from the context level to the individual level. On the other hand, the two 529approaches that consider the group level (Schrire and Pata & col.) search for differentiated 530relations: While Pata and colleagues search for direct effects from the individual level to the 531group level, Schrire, fundamentally, searches for relations in the opposite direction, from 532the group level to the individual, though with regard to "responsivity," the relations are 533considered bidirectionally. Finally, the approach of Garrison and colleagues searches for 534reciprocal relations between the elements that are considered at the individual level. 535

These two indicators, then, already allow us to place the five approaches on the theoretical 536spectrum that we suggested in the third section of this paper. At one end of the spectrum, the 537approach of Garrison and colleagues situates learning fundamentally in the individual (although 538in their explicit theoretical postulates, the group level plays an important role). The approaches 539of Beers and colleagues, and Weinberger and colleagues also situate learning, fundamentally, in 540the individual, but they consider that the context level (understood as an independent variable) 541has a direct influence on this individual learning. Going along the spectrum, we find the 542approach of Schrire, which also situates learning in the individual, but which considers this 543learning to be closely related with the group. At the other extreme of the spectrum, we find the 544approach of Pata and colleagues, which situates learning in the group, and which considers the 545individual as an agent engaged in this group learning. In this way, the five approaches are 546reciprocally situated in the spectrum drawn by our first two indicators. Thus, for example, we 547can observe how the approaches of Pata and colleagues, and Beers and colleagues, although 548based on close explicit theoretical positions, are situated at a considerable distance. In contrast, 549the approaches of Beers and colleagues, and Weinberger and colleagues, which, in principle, are 550more distant theoretically, are situated close together. 551

Although this positioning of the approaches is needed to make their dialectic 552 development possible, it is not yet sufficient. Learning, as we said, can be conceptualized 553 considering very different elements or processes. The dialectic relation between different 554 approaches is only possible from the consideration of shared elements of study, even if only 555 partially or generically. Our third indicator, therefore, is crucial in order to make this 556 dialectic development possible. 557

With regard to this third indicator, Table 2 shows that two approaches (Schrire and 558 Garrison & col.) share "critical thinking" as a theoretical element. Two approaches 559

t2.1 Table 2 Application of indicators on five specific approaches to CSCL

	Units of analysis		Theoretical	Search of		
	Theoretical level	Unity	elements	relations ^a		
Schrire	Individual level	Movement	Responsivity	Ind.		
		Conversational	Cognitive	Ind.		
		turn	functioning			
			Critical thinking			
	Group level	Exchange	Responsivity	Gr.		
		between		1 +		
		conversational				
		turns				
Garrison & col.	Individual level	Posting /	Critical thinking	Ind.		
		Conversational	Community	Ind.		
		turn	Educational	Ind.		
			assistance	-		
Weinberger &	Individual level	Thematic	Epistemic activity	Ind.		
col.		segment		1		
		Argumentative	Argumentation			
		movement	Negotiation of			
			meanings			
	Contextual level	(Content analysis	(Scripts are used)	Con.		
		is not used)				
Pata & col.	Individual level	Illocutionary act	Negotiation of	Ind. \leftrightarrow Ind.		
			meanings			
			Regulation of the			
			learning process			
			Educational			
	~		assistance			
	Group level	Discussion	Negotiation of	Gr.		
			meanings	•		
Beers & col.	Individual level	Posting /	Negotiation of	Ind.		
		Conversational	meanings	1		
		turn	Regulation of the	1		
			learning process			
	Context level	(content analysis	(scripts are used)	Con.		
l		is not used)				

^a The arrows indicate the relations that are sought between theoretical levels and the direction of these relations

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(Garrison & col. and Pata & col.) share "educational assistance" as a theoretical element.560Three approaches (Weinberger & col., Pata & col., and Beers & col.) share the theoretical561element of "negotiation of meanings." Two approaches (Pata & col. and Beers & col.) share562the element of "regulation of the learning process." Finally, two approaches (Weinberger & 563563col. and Pata & col.) share the use of scripts.564

These five elements are the nodes from which these five approaches can enter into 565 dialectic tension. It is a tension because they are different approaches, which, as the first 566 two indicators show, are theoretically situated in very different places. 567

This means that one approach cannot directly assume the results of another approach 568regarding a shared element. However, this approach can and should consider them in order 569to challenge their own results and theoretical postulates. CSCL cannot be a field with a 570unitary, integrated theoretical frame, in the same way as psychology cannot be (i.e., because 571genetic epistemology, cultural-historical psychology, cognitivism, etc., cannot be integrated) 572and physics cannot be either (because relativity theory and quantum mechanics cannot be 573integrated). We need to move not toward an integrated field but toward a field in which the 574results of the different approaches challenge each other. 575

By means of the tensions between the approaches of Weinberger and colleagues, Pata and colleagues, and Beers and colleagues regarding the theoretical element of "negotiation of meanings," we will try to briefly illustrate how these results might challenge each other. Table 3 summarizes some of the results of these three approaches. All results are extracted from the analysis of interactions in synchronous written communication. 570

These results permit two kinds of tensions. Firstly, the results of the approaches of 581 Weinberger and colleagues, and Beers and colleagues are in a "non-challenging" tension, 582 because, as we saw with our two first indicators, they are situated very close together. That 583 is, the tension between the results does not represent a strong challenge for the theoretical 584 positions behind each approach. In contrast, the tension between the results of these two 585 approaches and the approach of Pata and colleagues is indeed "challenging": It obliges us 586 to question some of the theoretical principles of all the approaches involved in the relation. 587

Let us examine, first, the "non-challenging" tensions between the results of Weinberger 588 et al. (2006) and Beers et al. (2005, 2007a). Essentially, the results of both approaches 589 indicate that the use of certain scripts has implications for the negotiation of meanings at the 590 individual level. The nuances are different, but they can be complementary. Weinberger and 591 colleagues, for example, building on the results of Beers et al. (2005, 2007a) about the 592 importance of coercion in scripts, could introduce different levels of coercion in their scripts 593 without causing even a minimal change in their theoretical-methodological principles. 594

t3.1 **Table 3** Summary of some of the results of three approaches about negotiation of meanings

t3.2	Weinberger et al. (2006)	Beers et al. (2005, 2007b)	Pata et al. (2005)	Q2/Q3
t3.3	One of the scripts used has positive effects on the negotiation of meanings, but at the same time, has negative effects on argumentation.	The more coercive the script, the greater the amount of negotiation of meanings (number of "verification" and "clarification" postings)	Certain negotiation actions by a participant (instructions, content-related prompts) promote certain negotiation actions by other participants (judgements, arguments, content-related agreements and disagreements)	-
t3.4			There is a positive relation between patterns of individual actions (tutoring styles) and the negotiation of meanings in the group level (ownership)	

589 **O2**

Beers and colleagues, meanwhile, could also accept without many theoretical problems that 595 a script designed to promote the negotiation of meanings could prejudice other aspects of 596 the interaction involved in learning. 597

However, despite being a non-challenging tension, the relation is dialectic: there cannot 598be a direct integration of the results. Although Beers and colleagues, for example, could 599consider that the application of their scripts might negatively affect other important 600 elements in learning, it is not so clear that these authors consider that one of these elements 601 is argumentation, nor that they do so in the same conceptualization as Weinberger and 602 colleagues. It is precisely this dialectic relation, this tension between perspectives, that can 603 open potential paths of development for both approaches. For Weinberger and colleagues, it 604can open the path of the consideration of scripts with variable coercion, although not the 605same scripts as Beers and colleagues nor probably the same kind of coercion. For Beers and 606 colleagues, it can open the path of the consideration of the collateral effects of their scripts, 607 although not necessarily searching for these effects in argumentation. It is in the dialectic 608 relations between different perspectives where the main engine for their development can 609 be found. 610

However, if the "non-challenging" tensions are powerful for this purpose, even more powerful are the "challenging" tensions. In this connection, let us consider the tension between the results of Pata et al. (2005) on one hand, and Weinberger et al. (2006) and Beers et al. (2005, 2007a) on the other. The results of Pata et al. (2005) show that the participant's actions of negotiating meaning influence the forms of negotiation of meanings of the other individuals, and of the whole group.

The results of Pata et al. (2005) oblige us to reflect on the meaning of the results of Beers et al. (2005, 2007a) and Weinberger et al. (2006). If each participant's actions of negotiating meanings are interdependent of those of the other participant, then the negotiation of meanings categorized by Weinberger and colleagues, and Beers and colleagues also has to be a consequence, to some extent, of this interdependence, of what a participant *decides* to write as a response to another participant. A number of questions then arise. For example, how do Beers and colleagues, and Weinberger and colleagues conceptualize this agency? Is it controlled by the script and, therefore, there is no need to consider it? Does it have to be considered as a second explicative principle of the negotiation of meanings? If it does, how is it related to the context level?

627 **O2** Meanwhile, the results of Weinberger et al. (2006) and Beers et al. (2005, 2007a) oblige us also to reflect on the significance of the results of Pata et al. (2005). If the 628 context level, by means of the use of scripts, has such a strong influence on participants' 629 negotiation of meanings, the negotiation of meanings categorized by Pata and colleagues 630 must also be influenced, to some extent, by the context in which this negotiation took 631 place—in which, incidentally, Pata and colleagues also used flexible scripts, though the 632 authors do not consider them as an object of study and do not mention them in the results. 633 Thus, a number of questions also arise regarding the conceptualization of Pata and 634 colleagues. For example, might the agency be dependent on the context? If not, what is 635 the relation between agency and context? How is the context conceptualized in relation to 636 the group and individual? 637

Once again, it is clear that the results cannot be integrated, because the perspectives from 638 which they are obtained cannot be integrated. Nevertheless, the potential of the dialectic 639 relation between these results for the development of the different approaches is clear. Now, 640 this potential is much deeper. It concerns the theoretical development of approaches: The 641 results of a different approach become a theoretical challenge to one's own approach. Thus, 642 the results of Pata and colleagues oblige Weinberger and colleagues, and Beers and 643

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colleagues to consider agency and to situate it theoretically in their own conceptualization.644The results of Weinberger and colleagues, and Beers and colleagues oblige Pata and
colleagues to consider the context and to situate it theoretically in their own645conceptualization.646

It is by means of this kind of dialectic relations that the results can be articulated within the theoretical and methodological diversity of the field of CSCL: not as an integrated and unitary whole, but as a set of reciprocally situated and dialectically related perspectives. It is in these dialectic relations where, in our view, the main engine for the development of the field of CSCL should be located.

In this section, we have tried to show that the indicators we propose can be useful in facilitating these dialectic relations between results. These indicators, as we have tried to exemplify, make it possible to situate reciprocally the results of two or more different approaches from the instruments of content analysis used—overcoming the possible problem of the construct/content validity of these instruments—and to identify shared theoretical elements from which the different approaches can enter into dialectic tension. 658

Conclusions

The problem that we have tried to address in this paper is the difficult articulation of the 660 results produced in the field of CSCL, in which there is large-scale theoretical and 661 methodological diversity. We propose that these results cannot be directly integrated for the 662 same reason that the different theoretical perspectives from which the results are obtained 663 cannot be integrated. Our proposal is that the different theoretical perspectives, by means of 664 their results, must be dialectically related. In our view, it is in the existence of these dialectic relations that the main potential for the development of the field of CSCL lies. 666

In order to contribute in this direction, we propose a set of indicators aimed at facilitating 667 the reciprocal positioning of results from approaches that use content analysis and their 668 dialectic development. These indicators are based on what we have termed "critical 669 methodological aspects," and are applied on the instruments of content analysis used by 670 the different approaches. We have exemplified how these indicators can be useful for the 671 dialectic development of the field. However, this tool also presents several limitations. The 672 most important one is that these indicators can only be applied to results from approaches 673 that use content analysis. Although content analysis is widely used in CSCL research, there 674 are also approaches that do not use this technique. So we need to be able to relate 675 dialectically results from content analysis approaches with results from approaches that do 676 not use content analysis. Another limitation of the tool is that the set of indicators that we 677 propose are not exhaustive. Although we think that the vast majority of approaches to 678 CSCL using content analysis can be characterized by means of the tool, there may be 679specific decisions about the three methodological aspects that are not included in the tool as 680 indicators, and especially, there will be decisions by approaches in the future that will be 681 682 different from those that have been considered in this tool. Therefore, the tool is not something finished, but something that should be completed and expanded in the future, 683 always by ensuring the exclusivity of the different indicators. 684

Despite these limitations, we think that this tool is useful for starting to address the problem of construction of knowledge in the CSCL field from a dialectic view, as we have tried to show in the fifth section. We believe that this problem is in need of urgent attention. The emphasis on the dialectic relations between different perspectives is, in our opinion, a promising line for the CSCL field to follow. 689

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AUTHOR QUERIES

AUTHOR PLEASE ANSWER ALL QUERIES.

- Q1. Please check authors' affiliation if captured appropriately.
- Q2. Reference "Weinberger et al. 2006" was cited here but not found in the Reference list. Please provide complete bibliographic information.
- Q3. The citation "Beers et al. 2007" (original) was changed to "Beers et al. 2007b". Please check if appropriate.

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Q4. Reference "Strijbos, Martens, & Jochems 2004" was not cited anywhere in the text. Please provide a citation.