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Supporting collaborative learning and problem-solving 4 in a constraint-based CSCL environment 5for UML class diagrams 6 Nilufar Baghaei · Antonija Mitrovic · Warwick Irwin 7 Received: 12 March 2007 / Accepted: 16 July 2007 8 © International Society of the Learning Sciences, Inc.; Springer Science + Business Media, LLC 2007 9 Abstract We present COLLECT-UML, a constraint-based intelligent tutoring system (ITS) 12that teaches object-oriented analysis and design using Unified Modelling Language (UML). 13UML is easily the most popular object-oriented modelling technology in current practice. 14 While teaching how to design UML class diagrams, COLLECT-UML also provides 15feedback on collaboration. Being one of constraint-based tutors, COLLECT-UML 16represents the domain knowledge as a set of constraints. However, it is the first system 17to also represent a higher-level skill such as collaboration using the same formalism. We 18 started by developing a single-user ITS that supported students in learning UML class 19diagrams. The system was evaluated in a real classroom, and the results showed that 20students' performance increased significantly. In this paper, we present our experiences in 21extending the system to provide support for collaboration as well as domain-level support. 22We describe the architecture, interface and support for collaboration in the new, multi-user 23system. The effectiveness of the system has been evaluated in two studies. In addition to 24improved problem-solving skills, the participants both acquired declarative knowledge 25about effective collaboration and did collaborate more effectively. The participants have 26enjoyed working with the system and found it a valuable asset to their learning. 27**Keywords** Collaboration support · Computer supported collaborative learning · 28

Constraint-based modelling · Evaluation · Intelligent tutoring system ·29Problem-solving support · UML class diagrams30

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Introduction

Web-based collaborative learning is becoming an increasingly popular educational paradigm as more students who are working or are geographically isolated engage in education. As such, when students do not meet face to face with their peers and teachers the support for collaboration becomes extremely important (Constantino-Gonzalez and Suthers 2002). 36

In the last decade, many researchers have contributed to the development of computer-37 supported collaborative learning (CSCL) and advantages of collaborative learning over 38individualized learning have been identified (Inaba and Mizoguchi 2004). Some of the 39particular benefits of collaborative problem-solving include: encouraging students to 40verbalize their thinking; encouraging students to work together, ask questions, explain and 41 justify their opinions; increasing students' responsibility for their own learning; increasing 42the possibility of students solving or examining problems in a variety of ways; and 43encouraging them to elaborate and reflect upon their knowledge (Soller 2001; Webb et al. 44 1995). These benefits, however, are only achieved by active and well-functioning learning 45teams (Jarboe 1996). Several systems for collaborative learning have been developed, but 46the concept of supporting peer-to-peer interaction in CSCL systems is still in its infancy. 47 Different strategies for computationally supporting online collaborative learning have been 48proposed and used, but more studies are needed to examine the utility of these techniques 49(Jerman et al. 2001). 50

This paper presents an intelligent tutoring system, the goal of which is to support the acquisition of both problem-solving skills and collaboration skills. We have developed a constraint-based problem-solving environment in which students construct UML class diagrams that satisfy a given set of requirements. It assists students during problem-solving skills and guides them towards the correct solution by providing feedback. The system is designed as a complement to classroom teaching and when providing assistance, it assumes that the students are already familiar with the fundamentals of UML.

We started by developing a single-user version. Next, we extended the system to support groups of students solving problems collaboratively. All constraint-based tutors developed so far support individual learning, but COLLECT-*UML* is the first to add support for collaborative learning as well. The system provides feedback on both collaboration issues (using the collaboration model, represented as a set of meta-constraints) and task-oriented issues (using the domain model, represented as a set of syntax and semantic constraints). 63

We start with a brief overview of related work in "Related work." "Single-user version 64of COLLECT-UML" presents the basic features of the single-user version of COLLECT-65 UML. The architecture of the collaborative version of the system is discussed in "The 66 architecture of COLLECT-UML," while the following section presents the student interface 67 and justifies the design decisions made. "Modeling collaboration" describes the 68 collaborative model, which has been implemented as a set of meta-constraints. In 69 "Evaluation," we present the results of two evaluation studies performed. "Conclusions" 70are given in the last section. 71

Related work

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The CSCL systems can be categorized into three main types in the context of the 73 collaboration support (Jerman et al. 2001). The first category includes systems that reflect 74 actions and make the students aware of the participants' activities. Increasing awareness 75

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about such actions could help students maintain a representation of other team members' 76activities and can considerably influence the collaboration (Plaisant et al. 1999). The 77 systems in the second category monitor the state of interactions; some of them aggregate 78the interaction data into a set of high-level indicators and display them to the participants 79(e.g., Sharlok II [Ogata et al. 2000]), while others internally compare the current state of 80 interaction to a model of ideal interaction, but do not expose this information to the users 81 (e.g., EPSILON [Soller and Lesgold 2000]). Finally, the third class of systems offer 82 feedback on collaboration. The coach in these systems plays a role similar to that of a 83 teacher in a collaborative-learning classroom. The systems can be categorized by the nature 84 of the information in their models, and if they provide feedback on strictly collaboration 85 issues or both collaboration and task-oriented issues (Jerman et al. 2001). Examples of the 86 systems focusing on the social aspects include Group Leader Tutor (McManus and Aiken 87 1995) and DEGREE (Barros and Verdejo 2000), while examples of systems addressing 88 both social and task-oriented aspects of group learning are COLER (Constantino-Gonzalez 89 et al. 2003) and LeCS (Rosatelli et al. 2000). 90

Although many tutorials, textbooks and other resources on UML are available, we are 91 not aware of any attempt to develop a CSCL environment for UML modeling. However, 92there has been an attempt (Soller and Lesgold 2000) at developing a collaborative learning 93environment for OO design problems using Object Modeling Technique (OMT), a 94precursor of UML. EPSILON monitors group members' communication patterns and 95problem solving actions in order to identify situations in which students effectively share 96 new knowledge with their peers while solving OO design problems. The system does not 97 evaluate the OMT diagrams and an instructor or intelligent coach's assistance is needed in 98 mediating group knowledge sharing activities. 99

Existing approaches to analyzing the collaborative learning interaction

Analyzing the collaborative learning process requires a fine-grained sequential analysis of
the group interaction in the context of the learning goals. The following describes five
different computational approaches available in the literature for performing such analysis
(Soller and Lesgold 2000).101
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- *Finite state machines*: McManus and Aiken's (1995) Group Leader system compares 105 sequences of students' conversation acts to those allowable in four-finite-state machines 106 developed to monitor discussions about comments, requests, promises, and debates. 107 The Group Leader analyzes sequences of conversation acts, and provides feedback on 108 the students' trust, leadership, creative controversy, and communication skills. For 109 instance, the system might note a student's limited use of sentence openers from the 110 creative controversy category, and recommend the student to use them. 111
- *Rule learners*: Katz et al. (1999) developed two rule-learning systems, String Rule 112 Learner and Grammar Learner that learn patterns of conversation acts from dialog 113 segments that target specific pedagogical goals. The rule learners were challenged to 114 find patterns in the hand-coded dialogs between expert technicians and students 115 learning electronics troubleshooting skills. The conversations took place within the 116 SHERLOCK 2 environment for electronics troubleshooting. 117
- Decision trees and plan recognition: COLER (Constantino-Gonzales and Suther 2000) 118 Q1 coaches students as they collaboratively learn entity-relationship modeling. Decision 119 trees that account for both task-based and conversational interaction are used to 120 dynamically give feedback to the group. 121

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Hidden Markov models: EPSILON (Soller and Lesgold 2000) monitors group • 122members' communication patterns and problem solving actions in order to identify 123(using machine learning techniques) situations in which students effectively share new 124knowledge with their peers while solving object-oriented design problems. The system 125first logs data describing the students' speech acts (e.g., request opinion, suggest, and 126apologise) and actions (e.g., Student 3 created a new class). It then collects examples of 127effective and ineffective knowledge sharing and constructs two hidden Markov models 128that describe the students' interaction in these two cases. A knowledge sharing example 129is considered effective if one or more students learn the newly shared knowledge (as 130shown by a difference in pre-/post-test performance), and ineffective otherwise. The 131system dynamically assesses a group's interaction in the context of the constructed 132models, and decides when and why the students are having trouble learning the new 133concepts. 134

We propose meta-constraints as an effective way of modeling collaboration, as described 135in detail later in this paper. COLLECT-UML is one of the rare systems to provide both 136domain-level feedback and feedback on collaboration. LeCS (Rosatelli et al. 2000) is 137another CSCL system that provides both domain-level feedback and collaboration-based 138feedback. It is a web-based collaborative case study system that can be applied to any 139domain in which the learning from case studies method is used. The system provides a 140solution tree, so that the students can visualize the building up of their solution. However, 141 there are several limitations in LeCS: the sentence openers are only intended to facilitate 142discussion and are not analyzed by the system; the individual work is not assessed, it is 143only used to generate the solution tree; evaluation of the case study solutions is the task of 144the case instructor; the domain knowledge concerning the case study is very simple; the 145information obtained from the chat and text area are not examined and the feedback on 146collaboration only captures participation and timing. 147

Single-user version of COLLECT-UML

Constraint-based tutors are Intelligent Tutoring Systems (ITS) that use constraint-based 149modelling (CBM) (Ohlsson 1994) to generate domain and student models. These tutors 150have been proven to provide significant learning gains for students in a variety of 151instructional domains. As is the case with other ITSs (Brusilovsky and Peylo 2003), 152constraint-based tutors are problem-solving environments; in order to provide individual-153ized instruction, they diagnose students' actions and maintain student models, which are 154then used to provide individualized problem-solving support and generate appropriate 155pedagogical decisions. Constraint-based tutors have been developed in domains such as 156SQL (the database query language) (Mitrovic 1998, 2003; Mitrovic and Ohlsson 1999), 157database modeling (Suraweera and Mitrovic 2002, 2004), data normalization (Mitrovic 1582002, 2005), punctuation (Mayo and Mitrovic 2001) and English vocabulary (Martin and 159Mitrovic 2003). All three database tutors were developed as problem-solving environments 160for tertiary students (Mitrovic et al. 2004), but the two language tutors are aimed at 161elementary school children. Students solve problems presented to them with the assistance 162of feedback from the system. 163

The domain that COLLECT-*UML* teaches is object-oriented (OO) analysis and design using the Unified Modelling Language (UML). An OO approach to software development is now commonly used (Sommerville 2004), and learning how to develop good quality OO 166

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software is a core topic in Computer Science and Software Engineering curricula. OO167systems consist of classes (with structure and behavior), and relationships between them.168Relationships have multiplicity and names can be of different types (association,169aggregation, composition, inheritance or dependency). In OO analysis and design, these170structures exist independently of any programming language, and consequently many171notational systems have been developed for representing OO models without the need for172source code. UML is the predominant notation in use today.173

UML consists of many types of diagrams, but *class diagrams* are the most fundamental 174 for OO modeling, as they describe the static structure of an OO system: its classes and 175 relationships. For readers unfamiliar with OO or UML, class diagrams can be viewed as conceptually akin to the *entity-relationship diagrams* used for data modeling, with support 177 for OO features such as inheritance and methods (Booch et al. 1999). 178

OO analysis and design can be a very complex task, as it requires sound knowledge of requirements analysis, design and UML. The text of the problem is often ambiguous and incomplete, and students need a lot of experience to be successful in analysis. UML is a complex language, and students have many problems mastering it. Furthermore, UML modeling, like other design tasks, is not a well-defined process. There is no single best solution for a problem, and often there are several alternative solutions for the same requirements. UML is also suitable for discussion due to its open-ended nature. 179 180 180 180 181 182 183

COLLECT-UML concentrates on teaching students how to construct a UML class186diagram to represent the OO concepts present in informal textual descriptions of software187requirements. This type of exercise has been used successfully for several years in our188introductory software engineering course, with the support of human tutors. The system189was designed to supplement the existing teaching programme by presenting additional190problems and providing automated tutoring.191

At the beginning of interaction, a student is required to enter his/her name, which is 192necessary in order to establish a session. The session manager requires the student modeler 193to retrieve the model for the student, if there is one, or to create a new model for a new 194student. Each action a student performs is sent to the session manager, as it has to link it to 195the appropriate session and store it in the student's log. Then, the action is sent to the 196pedagogical module. If the submitted action is a solution to the current problem, the student 197 modeler diagnoses the solution, updates the student model, and sends the result of the 198diagnosis back to the pedagogical module, which generates appropriate feedback. 199

Students interact with COLLECT-UML via its interface (Fig. 1) to view problems,200construct UML class diagrams, and view feedback. The top pane contains buttons that201allow the student to select a problem, view the history of the session, inspect his/her student202model, ask for help, or print the solution. The central part is a Java applet, which shows the203problem text and provides the UML modelling workspace. Feedback is presented on the204right, while the bottom part allows the student to submit solutions.205

The interface is not purely a communication medium: it also serves as a means of 206 supporting problem solving. The interface provides information about the domain of study 207 as it contains a drawing bar with UML constructs. Students can therefore remind 208 themselves of the basic building blocks to use when drawing UML diagrams. In order to 209 draw a UML diagram, the student selects the appropriate drawing tool from the drawing 210 toolbar and then positions the cursor on the desired place within the drawing area. 211

COLLECT-*UML* contains an ideal solution for each problem, which is compared to the 212 student's solution according to the system's domain knowledge, represented as a set of 213 constraints (Ohlsson 1994). The system's domain model contains a set of 133 constraints 214 defining the basic domain principles, a set of problems and their solutions (Baghaei et al. 215

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Fig. 1 Single-user version of COLLECT-UML interface

2006). Although there is only one solution stored for each problem, the system allows for
alternative ways of solving a problem, as there are constraints that check for equivalent
constructs between the student solution and the stored solution. In order to develop
constraints, we studied material in textbooks (e.g., Fowler 2004) and also used our own
experience in teaching UML and OO analysis and design.216
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Figure 2 illustrates a constraint from the UML domain. The relevance condition 221 identifies a subclass in the ideal solution (IS), and then checks whether the student's 222 solution (SS) contains the same class. The student's solution is correct if the satisfaction 223 condition is met, when the matching class is a subclass of another class. The constraint also 224 contains a message that would be given to the student if the constraint is violated. The last 225 two elements of the constraint specify that it covers some aspects of specialization/ 226 generalization, and also identifies the class to which the constraint was applied. 227

The system was evaluated in a real classroom and the results show that students' 228 performance increased significantly and they enjoyed the user-friendliness and self-learn capability of the system. For details on the architecture, functionality and the evaluation studies of the single-user version please refer to Baghaei and Mitrovic (2005) and Baghaei 231 et al. (2005, 2006). 232

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Fig. 2 Example of a domain
constraint
(161
"Check whether you have defined all required subclasses. Some
subclasses are missing."
(and (match IS SUBCLASSES (?* "@" ?tag ?*)))
(match SS CLASSES (?* "@" ?tag ?*)))
(match SS SUBCLASSES (?* "@" ?tag ?*))
"specialisation/generalisation"
(?tag))
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The architecture of COLLECT-UML

The collaborative version of the system (Baghaei and Mitrovic 2006) is designed for 234 sessions in which students first solve problems individually and then join into small groups 235 to create group solutions. The system provides support for both phases: during the 236 individual phase, it provides feedback on each individual's solution, while in the group 237 phase it comments on the group solution, comparing it to the solutions of all members of 238 the group and at the same time providing feedback on collaboration. 239

The collaborative teaching strategy used in COLLECT-UML is based on the socio-240cognitive conflict theory (Doise and Mugny 1984). According to this theory, social 241interaction is constructive only if it creates a confrontation between students' divergent 242solutions. The system, therefore, tries to create the conditions necessary for effective 243conflict by identifying the differences between the group solution and individual solutions, 244making the students aware of the differences and asking them to resolve the conflicts in 245their solutions, and request and give explanations. There are other CSCL environments in 246the literature based on socio-cognitive conflict theory, e.g., COLER (Constantino-Gonzalez 247et al. 2003). 248

The system's architecture is illustrated in Fig. 3. COLLECT-UML is a Web-enabled 249system and its interface is delivered via a Web browser. The application server consists of a 250session manager that manages sessions and student logs, a student modeler that creates and 251maintains student models for individual users, the constraint set, a pedagogical module, and 252a group modeler, responsible for creating and maintaining group models. The pedagogical 253module uses both the student model and the collaboration model in order to generate 254pedagogical actions. The student model records the history of usage for each constraint 255(both for domain constraints and the constraints from the collaboration model), while the 256



Fig. 3 The architecture of COLLECT-UML

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group model records the history of group usage for each domain constraint. The system is 257 implemented in WETAS (Martin and Mitrovic 2002, 2003), a constraint-based authoring 258 shell, which provides all tutoring functions such as intelligent analysis of students' 259 solutions, problem/feedback selection and session management. WETAS itself is 260 implemented in Allegro Common Lisp, which provides a development environment with 261 an integrated Web Server (AllegroServe 2006). 262

The student interface

The student interface is shown in Fig. 4. The problem description pane presents a design264problem that needs to be modelled by a UML class diagram. Students construct their265individual solutions in the private workspace (right). They use the shared workspace (left)266to collaboratively construct UML diagrams while communicating via the chat window267(bottom).268

The private workspace enables students to try their own solutions and think about the problem before they start discussing it in the group. The group diagram is initially disabled. 270 It is activated after a specified amount of time, and the students can start placing 271 components of their solutions in the shared workspace. This may be done by either 272 copying/pasting from private diagram or by making new components in the group diagram. 273 The private and shared workspaces have been put into split-panes, which would give the 274



Fig. 4 COLLECT-UML interface

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users the flexibility to resize the areas. The students need to select the components' names 275 from the problem text by highlighting or double-clicking on the words. 276

The Group Members panel shows the team mates already connected. Only one student,277the one who has the pen, can update the shared workspace at a given time. The control278panel provides two buttons to control this workspace: Get Pen and Leave Pen. Additionally,279this panel shows the name of the student who has the control of this area.280

The chat area enables students to express their opinions using one of the communication 281 categories. When a button is selected, the student has the option of annotating his/her 282 selection with a justification. The contents of selected communication categories are 283 displayed in the chat area along with any optional justifications. The students need to select 284 one of the communication categories before being able to express their opinions. 285

While all group members can contribute to the chat area and the group solution, only286one member of the group (i.e., the group moderator) can submit the group solution (by287clicking on the Submit Group Answer button). The system provides feedback on the288individual solutions, as well as on group solutions and collaboration. All feedback289messages will appear in the frame located on the right-hand side of the interface.290

The domain-level feedback on both individual and group solutions is offered at four 291levels of detail, upon submission of the solution: Simple Feedback, Error flag, Hint and All 292*Hints.* The first level of feedback simply indicates whether the submitted solution is correct 293or incorrect. The *Error flag* indicates the type of construct (e.g., class, relationship, method, 294etc.) that contains the error. Hint offers a feedback message generated from the first violated 295constraint. A list of feedback messages on all violated constraints is displayed at the All 296Hints level. In addition, the group moderator has the option of asking for the UML class 297diagram of the complete solution by clicking on Show Full Solution button. 298

Initially, when the student begins to work on a problem, the feedback level is set to the 299Simple Feedback level. As a result, the first time a solution is submitted, a simple message 300 indicating whether or not the solution is correct is given. This initial level of feedback is 301 deliberately low, as to encourage students to solve the problem by themselves. The level of 302 feedback is increased incrementally with each submission until the feedback level reaches 303 the *Hint* level. In other words, if the student/group moderator submits the solutions three 304times the feedback level would reach the *Hint* level, thus incrementally providing more 305 detailed messages. The system was designed to behave in this manner to reduce any 306 frustrations caused by not knowing how to develop UML diagrams. Automatically 307incrementing the level of feedback is terminated at the *Hint* level to encourage the student 308 to concentrate on one error at a time rather than all the errors in the solution. The system 309 also gives the student the freedom to manually select any level of feedback according to 310their needs. This provides a better feeling of control over the system, which may have a 311 positive effect on their perception of the system. In the case when there are several violated 312constraints and the level of feedback is different from All hints, the system will generate the 313 feedback on the first violated constraint. The constraints are ordered in the knowledge base 314 by the human teacher, and that order determines the order in which feedback would be 315 given. 316

The collaboration-based advice is given to individual students based on the initial glanning of the problem, content of the chat area, the student's contributions to the shared diagram and the differences between student's individual solution and the group solution being constructed (Table 1). There are four different time intervals the meta-constraints are evaluated at, which are described later in this document. 321

The *Next Problem*, *Submit Group Answer*, and *Show Full Solution* buttons associated 322 with the group diagram can be controlled by the moderator only, but the *Group Model* 323

Feedback Category	Examples of Feedback Messages
Initial Planning	You may wish to think about the problem and construct a UML diagram in your individual workspace first, before joining the group discussion.
Encouraging Advanced Planning	Would you like to introduce yourself to your teammates and plan the session?
Use of Communication Categories	You may wish to explain to other members why you agree or disagree with a solution. You seem to just agree and/or disagree
	with other members. You may wish to challenge others ideas and ask for explanation and justification. Ensure adequate elaboration is provided
	in explanations.
Comparing Individual Diagrams with the Group Diagram and vice versa	Some classes in your individual solution are missing from the group diagram. You may wish to share your work by adding those class(es)/discuss it with other members.
	Some methods in the group diagram are missing from your individual solution. You may wish to discuss this with other members.
Contribution to the Group Diagram	You may wish to give explanation and provide justification each time you make a change to the shared diagram.

Table 1 Collaboration-based feedback types

button can be accessed by all the members to inspect their group model (Fig. 5). The group 324model visualizes the group's knowledge of the main OO concepts being taught (i.e., classes, 325 attributes, methods, relationships, and specialization) in terms of skill meters, showing how 326 much of the corresponding knowledge they have covered/learned for each concept. It is 327 calculated using the number of satisfied constraints and total number of constraints relevant 328 to each OO concept. The students can use the *Help* button (at the top of the individual 329 workspace) to get information about UML Modeling, Submit Answer to get feedback on 330 their individual solutions and *Next problem* to move on to a new problem (regardless of the 331 problem the group is working on at that point). The students cannot view full solutions in 332 the individual workspaces (that option is only available under the shared workspace). 333 Viewing the full solution by individual members of the group might stop them from 334thinking about the problem and/or collaborating with the rest of the group member. 335

In the following subsections, we justify some of the design decisions we made in 336 designing the student interface. We discuss the use of communication categories, the 337 importance of turn taking and the inclusion of the private workspace. These justifications 338 are based on the findings of previous research conducted on computer-mediated 339 collaboration. 340

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COLLECT-UML: Group	Model of group15 - Mozilla Fi	refox	
		IL.	
N N	our Progress at a	Glance	
Classes Methods Relationships Attributes Specialisations (generalisations)	Your learning progress is the total 100% of the kind - shows the me - shows the me - relative amou	s summarized here in a visual form. Each bar mowledge on how to use a particular type of easure of incorrect understanding. assure of incorrect understanding. Int of problems not yet covered. Covered: 63%, learned: 40% Covered: 14%, learned: 7% Covered: 14%, learned: 12% Covered: 66%, learned: 53% Covered: 64%, learned: 57%	epresents construct.
Done			



Communication categories

The use of communication categories structures the students' conversation and eliminates 342 off-task discussions. The structured chat interface with specific sentence openers can 343 promote more focus on reflection and the fundamental concepts at stake (Baker et al. 2001). 344 The usage of structured dialogue requires extra effort from students in comparison to freeform input, as students have to find relevant categories for their statements. Although this 346 kind of interaction is slower and more demanding, it structures the data and hence makes it 347 easier to analyze interactions between the group members. 348

Results from various projects indicate that the use of the structured dialogue "supports 349and increases learners' task-oriented behavior, leads to more coherence in discussing 350argumentatively the subject matter, promotes reflective interaction, lightens the learners' 351typing load, guides the sequence and the content of the dialogue, and is characterized as an 352adequate pedagogical approach for virtual learning groups" (Gogoulou et al. 2005). 353 However, requiring learners to select a communication category before typing the 354remainder of their contribution may tempt them to change the meaning of the contribution 355to fit one of the sentence openers, thus changing the nature of the collaborative interaction. 356

Finally, it is to be noted that, besides the gains that learners may achieve through a 357 structured dialogue, "this dialogue is also crucial for realizing the benefits of a significant 358 meta-analysis of collaborative students, constituting another advantage of a structured 359 interface" (Dimitracopoulou 2005). 360

Turn taking

Turn taking is supported in our system by taking and leaving a pen whenever the 362 participants want to make a contribution. A study (Rummel and Spada 2005) has integrated 363

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empirical findings from different research approaches to define relevant characteristics of a364good collaboration, and the authors consider turn-taking as one of those characteristics.365According to their results, explicitly handing over a turn can be a good way of compensating366for the reduced possibilities to transmit nonverbal information.367

An implication of providing such a protocol is that deadlocks can be created in cases 368 where one partner cannot proceed with problem-solving alone and at the same time refuses 369 to pass the control over to the other partners. The advantage, however, is that turn taking 370 maintains clear semantics of a participant's actions and roles in the shared workspace 371 (Dimitracopoulou 2005). The lack of providing turn-taking protocol in most of computermediated collaboration tools is considered to be one of the limitations of such tools (Feidas 373 et al. 2001). 374

Private workspace

Providing a well-balanced proportion of individual and joint work phases is considered376crucial for successful collaboration in a study by Rummel and Spada (2005). The individual377phase allows each group member to use his/her strengths (in terms of domain knowledge378and problem-solving skills). This is later followed by a collaborative phase, which includes379discussions of various opinions thus supporting information exchange.380

Allowing enough time for individual work is of central importance in the case of 381complementary expertise of the collaborating partners. However, recent studies have 382 provided evidence that individual work is often neglected in studies on computer-mediated 383 collaboration (Hermann et al. 2001). The private workspace also enables students to try 384solutions without feeling they are being watched (Constantino-Gonzalez et al. 2003). The 385 collaboration scripts developed in the literature (e.g., Dillenbourg 2003) also includes 386 individual activities as well as collective ones, indicating the importance of having an 387 individual work phase. 388

Modeling collaboration

Research on learning has demonstrated the usefulness of collaboration for improving 390 student's problem-solving skills. When learning in a collaborative setting, students are 391encouraged to work together, share ideas and their reasoning, ask questions, explain and 392justify their opinions, and elaborate and reflect upon their knowledge (Webb et al. 1995; 393 Soller 2001). All of these activities increase students' responsibility for their own learning 394and open up new ways of solving or examining problems. These benefits, however, are 395only achieved by active and well-functioning learning teams (Jarboe 1996). Simply putting 396 students together and giving them a task does not mean that they will collaborate well. 397 Collaboration is a skill, and, as any other skill, needs to be taught and practiced to be 398acquired. To work well together, all members need to be active, and need to provide 399encouragement to each other. 400

In a recent project, Rummel and Spada (2005) studied the effect of instructional 401 approaches on improving collaborative skills in computer-mediated settings. The authors 402 concluded that "learning by unguided collaborative problem-solving on a task is much less effective than systematic intervention and almost as bad as having no opportunity for 404 learning at all." Students learning via CSCL technology need practice, guidance and 405 support in learning the social interaction skills, just as students learning in the classroom 406 need support from their instructor (Soller 2001).

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The goal of our research is to support collaboration by modeling collaborative skills. 408 COLLECT-*UML* is capable of diagnosing students' collaborative actions, such as 409 contributions to the chat area and contributions to the group diagram, using an explicit 410 model of collaboration. This collaboration model is represented using constraints, the same 411 formalism used to represent domain knowledge. A significant contribution of our work is to show that constraints can be used not only to represent domain-level knowledge, but also higher-order skills such as collaboration. 414

Our model of collaboration consists of set of 25 meta-constraints representing ideal 415collaboration. The structure of meta-constraints is identical to that of domain-level 416 constraints: each meta-constraint consists of a relevance condition, a satisfaction condition 417 and a feedback message. The feedback message is presented when the constraint is 418 violated. In order to develop meta-constraints, we studied the existing literature on 419characteristics of effective collaboration, such as (Constantino-Gonzalez et al. 2003; 420 Vizcaino 2005; Soller 2001; Rummel and Spada 2005), and also used our own experience 421 in collaborative work. 422

The meta-constraints are divided into four main groups: constraints that monitor 423students' contributions to the group diagram (making sure that students remain active, 424 encouraging them to discuss the differences between their individual diagrams and the 425group diagram, etc.), constraints that monitor students' contributions to the chat area and 426the use of communication categories, constraints that monitor the differences between the 427 student's individual solution and the group solution, and constraints that monitor the initial 428 planning of tackling the problem. Table 1 shows different categories of meta-constraints 429with one or more examples for each category. 430

There are four different time intervals the meta-constraints are evaluated at, which were 431 chosen based on our experience from the pilot study: one-off (e.g., the meta-constraint 432checking whether the students have introduced themselves to their team-mates and have 433planned the session and the meta-constraint checking that the student has constructed a 434diagram in his/her individual workspace before joining the group discussion), 5 min (e.g., 435asking students to ensure adequate elaboration is provided in their explanations), 8 min 436(e.g., encouraging students to explain to other members why they agree or disagree with a 437 solution), and 10 min (e.g., encouraging students to contribute to the construction of the 438group diagram). 439

Figure 6 illustrates the four meta-constraints. The relevance condition of constraint 227 440focuses on methods that are defined for certain classes in the student's individual solution 441 (referred to as SS), when the same classes also exist in the group solution (GS). For this 442 constraint to be satisfied, the corresponding methods should also appear in the group 443 solution. If that is not the case, the constraint is violated, and the student will be given the 444 feedback message attached to this constraint, which encourages the student to discuss those 445 methods with the group, or add them to the group solution. Constraint 229 focuses on the 446 use of communication categories in student's contribution (referred to as SC), checking 447 whether the student has provided any explanation for the changes they have made to the 448 group diagram. Constraint 238 is relevant if the student has made a contribution to the chat 449 area and its satisfaction condition checks whether the student has typed a statement after 450using any of the available communication categories. If not, it encourages them to provide 451more explanation as part of their contribution. Constraint 240 is always relevant (because 452its relevance condition is always true); its satisfaction condition checks whether the student 453has made any contributions to the elements of the group solution (classes, methods, 454attributes or relationships), or to the chat area. If that is not the case, the feedback message 455suggests the student to contribute to the discussion. 456

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```
(227)
 "Some methods in your individual solution are missing from the
  group diagram. You may wish to share your work by adding those
  method(s)/discuss it with other members."
  (and (match SS METHODS (?* "@" ?tag ?name ?class tag ?*))
       (match SS CLASSES (?* "@" ?class tag ?*))
       (match GS CLASSES (?* "@" ?class_tag ?*)))
 (match GS METHODS (?* "@" ?tag ?name2 ?class tag ?*))
 "methods"
 (?class tag))
(229)
 "You may wish to give explanation and provide justification each time
  you make a change to the shared diagram."
  (or-p (match SC CLASSES (?* "@" ?class tag ?*))
        (match SC METHODS (?* "@" ?method tag ?*))
        (match SC ATTRIBUTES (?* "@" ?attr tag ?*))
        (match SC RELATIONSHIPS (?* "@" ?rel tag ?*)))
  (and (match SC DESC (?* "@" ?tag ?*))
        (or-p (match SC DESC (?* "@" "Request" ?*))
             (match SC DESC (?* "@" "Inform" ?*))
             (match SC DESC (?* "@" "Motivate" ?*))
             (match SC DESC (?* "@" "Task" ?*))
             (match SC DESC (?* "@" "Maintenance" ?*))
             (match SC DESC (?* "@" "Arque" ?*))))
 "descriptions"
  nil)
(238)
  "Ensure adequate elaboration is provided in explanations."
  (match SC DESC (?* "@" ?tag ?text ?*))
  (not-p (test SC ("null" ?text)))
 "descriptions"
  nil)
(240)
   "Would you like to contribute to the group discussion?"
    (or-p (match SC CLASSES (?* "@" ?class tag ?*))
          (match SC METHODS (?* "@" ?method tag ?*))
          (match SC ATTRIBUTES (?* "@" ?attr tag ?*))
         (match SC RELATIONSHIPS (?* "@" ?rel tag ?*))
        (match SC DESC (?* "@" ?tag ?*)))
    "descriptions"
    nil)
```

Fig. 6 Examples of meta-constraints

In order to be able to evaluate meta-constraints, the system maintains a rich collection of 457 data about all actions students perform in COLLECT-*UML*. After each change made to the 458 group diagram, an XML event message containing the update and the identity (id) of the 459 student who made that change is sent to the server. Each chat event consists of the student 460 id, the type of sentence opener they have used and the content of the message. 461

Histories of all the contributions made to the shared diagram as well as the messages462posted to the chat area are stored on the server. The internal representation consists of seven463components (i.e., *Relationships, Attributes, Methods, Classes, Superclasses, Subclasses* and464*Desc*). The *Desc* component (short for Description) includes the student's activities in the465chat area during a specified amount of time. The meta-constraints are evaluated against466

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these histories, and feedback is given on contributions that involve adding/deleting/ 467 updating components in the shared diagram as well as contributions made to the chat area. 468

Soller (2001) proposed a collaborative learning model (CL) that identifies the469characteristics exhibited by effective learning teams. The five facets of the CL model are470participation, social grounding, performance analysis and group processing, application of471active learning conversation skills and promotive interaction. The CL model also supports472strategies that could be implemented by CSCL systems for helping groups acquire effective473collaborative learning skills. COLLECT-UML supports a number of these strategies:474

- *Participation* is supported by encouraging students to participate, if they remain 475 inactive for a specified amount of time.
- Social grounding is supported by assigning the moderator role to one student in each team. The moderator is responsible for submitting the group solution.
- Active learning conversation is supported by providing feedback on collaborative skill 479 usage, storing student and group models and encouraging students to challenge or 480 explain others' ideas.
- Performance analysis and group processing is supported by providing feedback on group/individual performance and allowing students to inspect their student/group 483 models (Fig. 5).
- Promotive interaction is supported by ensuing adequate elaboration is provided in 485 students' explanations and updating student/group models when students ask for and 486 receive help.

Evaluation

As the credibility of an ITS can only be gained by proving its effectiveness in a classroom 490 environment, we have conducted two evaluation studies with COLLECT-*UML*, described 491 in this section. 492

Pilot study

We conducted a pilot study in March 2006. The study aimed to discover users' perceptions 494 of various aspects of the system, mainly the quality and usefulness of feedback messages 495 (both task-based and collaboration-based) and the interface. 496

The participants were 16 postgraduate students enrolled in an Intelligent Tutoring 497 Systems course at the University of Canterbury, whom we divided into eight pairs. The 498 participants had completed a half of the course before the study and were expected to have 499 a good understanding of ITSs. All participants except one were familiar with UML 500 modeling. 501

The study was carried out in the form of a think-aloud protocol (Ericsson and Simon 5021984). This technique is increasingly being used for practical evaluations of computer 503systems. Although think-aloud methods have traditionally been used mostly in psycholog-504ical research, they are considered the single most valuable usability engineering method 505(Nielsen 1993). Each participant was asked to verbalize his/her thoughts while performing a 506UML modeling task using COLLECT-UML and collaborating with his/her team-mate. Data 507was collected from video footages of think-aloud sessions, informal discussions after the 508session and researcher's observations. 509

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The majority of the participants felt that the interface was nicely designed and found the 510chat tool to be very useful for communicating their ideas. Most of them said that the 511problems were challenging and seemed to tackle a good range of complexity. A few 512participants mentioned that they found the interface a bit complicated and needed more time 513to learn how to use it. In order to name a new component (class, attribute, method or 514relationship), the students were required to highlight phrases from the problem text. 515Although some participants found this somewhat restrictive initially, they became more 516comfortable with the interface once they had a chance to experiment with it. 517

The definitions of concepts used in designing UML class diagrams were included in the 518 Help document, which several participants found quite useful. The majority of the 519 participants felt that the feedback messages helped them understand the domain concepts 520 that they found difficult. Since they spent only about 30–40 min working with the system, 521 they did not pay much attention to the collaboration-based feedback and hence were not able to comment on the quality of such messages. 523

The participants provided several suggestions, which were used to modify the system 524after the study. Following the comments some students made on the chat area, the color of 525the text was changed to make it easier to read. One participant commented that it was 526possible to paste elements into the group diagram without holding the pen. This error was 527fixed so that the participants could not make any changes to the group diagram unless they 528were holding the pen. There were also a few suggestions for further improvement, e.g., 529being able to copy a group of elements from the individual diagram and paste them into the 530group diagram (instead of one element at a time), being able to resize the problem text area, 531asking for the definitions of static elements to be included in the Help document, and 532asking for the group diagram to be updated more often. 533

Evaluation study

The evaluation study was carried out at the University of Canterbury in May 2006, after535COLLECT-UML was enhanced in the light of the findings from the pilot study. The study536involved 48 volunteers enrolled in an introductory Software Engineering course. This537second-year course teaches UML modelling as outlined by Fowler (2004). The students538learned UML modeling concepts during 2 weeks of lectures and had some practice during5392 weeks of tutorials prior to the study.540

The study was conducted in two streams of 2-h laboratory sessions over 2 weeks. In the 541 first week, the students filled out a pre-test and then interacted with the single-user version 542 of the system. Doing so gave them a chance to learn the interface and provided us with an 543 opportunity to assess their UML knowledge and decide on the pairs and moderators. 544

At the beginning of the sessions in the second week, we told students what 545characteristics we would be looking for in effective collaboration (that was considered as 546a short training session). The instructions describing the characteristics of good 547collaboration and the process we expected them to follow (Fig. 7) were also handed out. 548The idea of providing students with such a script and therefore supporting instructional 549learning came from a study conducted by Rummel and Spada (2005). The participants were 550also given a screenshot of the system highlighting the important features of the multi-user 551interface (Fig. 4). 552

The students were randomly divided into pairs with a pre-specified moderator. The 553 moderator for each pair was the one who had scored better in the pre-test (filled out in the 554 first week). The pairs worked on a big, relatively complex problem (given in Appendix) 555 individually and joined the group discussion whenever they were ready—the group 556

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Initial Phase

- Introduce yourself to each other
- Decide on how much time you are planning to spend on the individual diagram
- Ask questions about UML if you are not sure about anything (don't talk about the solution though)
- Read the problem text carefully and construct a UML diagram for the problem description in your individual workspace
- The group diagram will be enabled after 10 minutes. After the group diagram is enabled, you can start discussing your solution with other group members (whenever you are ready)

Main Phase

- After the shared diagram gets activated, get the pen (request it if someone else is already holding the pen) and copy and paste a component of your individual diagram to the shared workspace, when the pen is available
- Release the pen as soon as you finish with adding a component to the shared diagram. Don't hold the pen for too long and let other members contribute too
- Compare your individual solution with the group diagram being constructed in the shared workspace. Let the group members know if there is any difference between your solution and the shared solution
- Actively discuss any changes you make to the shared diagram with the other group members. After every change you make to the group diagram, make sure you give explanation and provide justification in the chat area
- After a member makes a change to the shared diagram or suggests something, make sure to express your opinion as to whether or not you agree with it and why
- Ask your team-mate to give explanation and provide justification, if you cannot follow their contribution
- Inform your team member that you read and/or appreciate their comments
- Challenge other members' contributions to the shared diagram and don't accept an idea if you do not agree with it
- Make sure you are contributing to the shared diagram and/or the chat area. Don't
 just sit there and watch your team-mate solving the problem

Final Phase

- Let the moderator know whether or not you agree with the final diagram before he/she submits it to the system
- Discuss the feedback from the system with each other and modify the shared diagram accordingly
- Move on to the next problem and follow the previous procedure (individual problem-solving, collaborative problem-solving and group agreement on a joint solution)

Fig. 7 Exemplary collaboration

diagram was activated after 10 min. We made sure that the pairs were physically separated, 557 so that they could only communicate through the chat window. 558

At the end of the session, each participant was asked to complete a post-test, which was used to compare their performance with the pre-test from the previous session. They were also asked to fill out a questionnaire commenting on the interface, the impact of the system on their domain knowledge and their collaborative skills, and the quality of the feedback messages provided by the system on their individual and collaborative activities. 563

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Interacting with the system

The experimental group consisted of 26 students (13 pairs) who received feedback on the 565 domain model as well as their collaborative activities. The control group consisted of 22 566 students (11 pairs) who only received feedback on the domain model (no feedback on 567 collaboration was provided in this case). There were four female participants in four 568 different pairs (one from the control group and three from the experimental group). Both 569 control and experimental groups received instructions on characteristics of good 570 collaboration at the beginning of the session. 571

Both versions of the system provided five levels of feedback on students' solutions 572(Positive/negative, Error Flag, Hint, All Hints, Full Solution). Table 2 presents some 573general statistics about the second week of the study. Active pairs are those who 574collaborated (i.e., contributed to the chat area, the group diagram or both). Out of ten active 575pairs, six pairs in the control group and eight pairs in the experimental group submitted 576their group solutions and received feedback from the system. The logs for the other active 577pairs show that they constructed a group diagram and/or discussed it in the chat area, but 578the moderators did not submit the final solution. Four pairs in each group managed to solve 579the problem; two experimental pairs and one control pair got it right on their first 580submission. 581

As can be seen from Table 3, the experimental group students contributed more to the group diagram, with the difference between the average number of individual contributions for control and experimental groups being statistically significant (t=2.03, p=0.03). The meta-constraints generated collaboration-based feedback 19.4 times on average for the sexperimental group. The total amount of time spent interacting with the system was 1.4 h for the control group and 1.3 h for the experimental group.

Pre- and post-test performance

The pre-test and post-test each contained four multiple-choice questions, followed by a guestion where the students were asked to design a simple UML class diagram. The tests included questions of comparable difficulty, dealing with inheritance and association relationships. The post-test had an extra question, asking the participants to describe the aspects of effective collaborative problem-solving. The mean scores of the pre- and post-test are given in Table 4. The numbers reported for the post-test do not include the collaboration question. 595

The most important measure of the ITS effectiveness is the improvement in 596 performance. The average mark on the pre-test for the students who participated in the 597 study was 52% for control group and 49% for the experimental group (Table 4). There was no significant difference on the pre-test, meaning that the groups were comparable. The 599 students' performance on the post-test was significantly better for both the control group (t=2.11, p=0.01) and the experimental group (t=2.06, p=0.002). The experimental group, 601

Table 2 Number of pairs, active pairs and pairs which submitted/ solved the problems		Control	Experimental	t2.1	
solved the problems	Pairs	11	13	t2.2	
	Active pairs	10	10	t2.3	
	Pairs submitted solutions	6	8	t2.4	
	Pairs solved the problem	4	4	t2.5	

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Table 3 Number of group submissions, contributions to the		Control		Experimental	
time		Average	SD	Average	SD
	Group submissions	5.66	6.02	4.62	5.09
	Meta-constraints applied	-	-	19.37	9.02
	Individual contributions to the group diagram	11.7	8.65	18.72	10.57
	Individual contribution to the chat area	22.22	15.33	23.92	11.70
	Individual submissions	19.81	20.56	16.40	18.51
	Total time (hours)	1.39	0.29	1.27	0.38

who received feedback on their collaboration while working with the system, performed 602 significantly better on the collaboration question (t=2.02, p=0.003), showing that they 603 acquired more knowledge on effective collaboration. 604

The effect size for the experiment was also calculated. The common method to calculate 605 it in the ITS community is to subtract the control group's mean score from the experimental 606 group's mean score and divide by the standard deviation of the scores of the control group 607 (Bloom 1984). Using this method, the effect size of the system on student's collaboration 608 knowledge is very high: 609

 $(\text{Average collaboration score}_{exp} - \text{Average collaboration score}_{control})/\text{s.d.}_{control} = 1.3.$

Learning

We have analyzed the students' individual log files in order to identify how students learn 613 the underlying domain concepts during their interaction with COLLECT-UML in the 614 second week. Figure 8 illustrates the probability of violating a domain constraint plotted 615against the occasion number for which it was relevant, averaged over all domain constraints 616 and all participants in control and experimental groups. The data points show a regular 617 decrease, which is approximated by a power curve with a close fit of 0.78 and 0.85 for the 618 control and experimental groups respectively, thus showing that students do learn 619constraints over time. The probability of 0.21 for control group violating a constraint on 620 the first occasion of application decreased to 0.09 at its eleventh occasion, displaying a 621 61.9% decrease in probability. The probability of 0.23 for experimental group violating a 622 constraint on the first occasion of application decreased to 0.12 at its eleventh occasion, 623 displaying a 47.8% decrease in probability. 624

Table 4 Mean pre- and post-test scores		Control		Experimental		
		Average (%)	SD (%)	Average (%)	SD (%)	
	Collaboration	22	22	52	39	
	Pre-test	52	20	49	19	
	Post-test	76	25	73	25	
	Gain score	17	28	21	31	



Fig. 8 Probability of domain constraint violation for individuals in control and experimental groups

Figure 9 illustrates the learning curve for meta-constraints only (for the experimental group). The data points show a decrease, which is approximated by a power curve with a R^2 626 fit of 0.59, initial error probability (0.32) and learning rate (-0.16), thus showing that 527 students learn meta-constraints over time. Because the students used the system for a short 628 time only, more data is needed to analyze learning of meta-constraints, but the trend 629 identified in this study is encouraging. In general, the students violate more task-based 630 constraints than meta-constraints, as there are more domain constraints than meta-constraints.

We found out that 20 domain constraints (out of 76 constraints that were relevant for the 632 problem) were never violated by the participants, meaning that the students already knew 633 the corresponding domain concepts. These constraints can be divided into several groups: 634 (1) constraints that make sure the name of each class or attribute is unique; (2) constraints 635 that check whether classes, attributes, inheritances, compositions and aggregations are 636 represented in the student's solution using appropriate UML constructs; (3) a constraint 637 making sure that each method parameter has a name; (4) a constraint that makes sure each 638 class has at least one attribute or method; (5) constraints that check inheritances in students' 639 diagrams, making sure that there are no cycles; (6) a constraint that makes sure each 640 subclass is connected to a superclass; (7) a constraint that makes sure the right set of classes 641 participate in the associations, and finally (8) constraints that check whether all the 642 superclasses/subclasses are necessary. 643

The difficult domain constraints (which were violated most often by the participants 644 during their interaction with the system) are the following: (1) a constraint that checks the 645 types of attributes; (2) constraints that check for missing methods, aggregation relationships 646 and abstract classes in the student's solution; (3) constraints that check whether the source 647 and destination multiplicities of the associations have been specified, and finally (4) a 648 constraint that makes sure concrete classes have not been used to represent abstract classes. 649

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Fig. 9 Probability of meta-constraint violation for the experimental group

In all these cases, the constraints are very specific, and it is likely that the student will focus 650 on these elements of the solution only when the solution is mostly correct. 651

The easy meta-constraints (violated the least by the students during their interaction with the system) included: (1) a meta-constraint that makes sure students ask for or provide explanations and justifications whenever they (dis)agree with their team mates; (2) a metaconstraint that makes sure adequate elaboration is provided in student's explanations; (3) a meta-constraint that checks whether the student has constructed a diagram in their individual workspace before joining the group diagram, and finally (4) meta-constraints that compare the individual and group workspace checking for missing methods and attributes.

The difficult meta-constraints, which were violated the most by the participants, 659 included the ones checking that the student is contributing to the group discussion and 660 shared diagram (applied every 10 min), and the meta-constraints letting students know that 661 some aggregations, inheritances and classes in the group diagram are missing from their 662 individual solutions and suggesting them to discuss this with other members. 663

Use of communication categories

Communication categories structure the students' conversation and eliminate the off-task 665 discussions to a great extent. The percentage of off-topic conversations was 3.84% for the 666 control group and 1.55% for the experimental group. The pie charts summarizing the 667 control and experimental groups' interactions are shown in Figs. 10 and 11 respectively. 668 The experimental group was more balanced in this respect, as the students participated 669 more in group maintenance (by using the *Maintain* opener) and task management activity 670 (Task opener), requesting information, arguing and disagreeing with other members 671 compared with the control group. Inform, Acknowledge and Introduce and Plan 672 contributions occurred more in the control group. 673

Examples of good and bad collaboration

Analysis of session logs shows that four pairs from the control group and seven pairs from 675 the experimental group collaborated well. We chose pair A from the control and pair B 676

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from the experimental group to illustrate examples of good and bad collaboration. There 677 was no difference between the average pre-test marks of the two pairs (60% for pair A and 678 58% for pair B). However, pair B did much better on the post-test (average of 85%) 679 compared to 60% scored by pair A). Figures 12 and 13 illustrate the probability of domain 680 constraint violation for pairs A and B respectively. As it can be seen, the data points in 681 Fig. 13 show a regular decrease, which is approximated by a power curve with a R^2 fit of 682 0.87, initial error probability (0. 23) and learning rate (-0.76), thus showing that students 683 learn domain constraints over time, whereas that is not the case for pair A. The probability 684 of 0.3 for pair A violating a constraint on the first occasion has decreased to 0.29 at its 685 seventh occasion, which is almost the same as the initial error probability. 686

Figures 14 and 15 show the probability of meta-constraint violation for the two members in pair B (pair A did not receive feedback on their collaboration). The data points show a regular decrease, which is approximated by a power curve with a R^2 fit of 0.89/0.85, initial error probability (0.42/0.31) and learning rate (-0.92/-1.2) for members B1/B2 690 respectively, thus showing that students learn meta-constraints equally well over time. 691

We also looked at the use of communication categories by the two pairs. Pair B was 692 much more balanced in using different communication categories, while pair A used the 693 *Inform* communication categories extensively and spent very little time on planning the 694 session in advance. 695



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Fig. 12 Probability of domain constraint violation for Pair A

Figures 16 and 17 show timelines of actions performed by each student, where A1 and B1 are moderators. The diamonds represent the contributions to the chat area, the squares represent their contributions to the group diagram and crosses are used to show the moderators asking for feedback on the group diagram. The timelines do not show the activities of the pairs on their individual diagrams. 700

As it can be seen in Fig. 16, the moderator is much more active than the other student. 701 Since they were part of the control group, they were not receiving feedback on their 702 collaboration activities. We have indicated the parts where getting collaboration feedback 703 would have been useful. For example, collaborative feedback would have been generated at 12:27 asking member A1 to provide an explanation or justification after making a change to the shared area, or at 12:48 asking them to elaborate on their contribution when they used an empty sentence opener. Collaborative feedback could have also encouraged member A2



Fig. 13 Probability of domain constraint violation for Pair B





Meta-constraints - member B1



to be more active and to provide justification each time they made a change to the shared 708 diagram. 709

Figure 17 shows the summary of collaboration in pair B, including the parts where the 710 students received collaborative feedback. For example, at 12.18 the collaborative feedback 711 encourages member B1 to justify their contributions on the group diagram. At 12:44 and 71212:53, the changes (in this case creating a *Transaction* class and aggregation relationships) 713were explained by using an Inform sentence opener. Also at 12:41, member B1 received 714 meta-constraint 223 which states Some relationship types (aggregations) in your individual 715solution are missing from the group diagram. You may wish to share your work by adding 716 those aggregation(s)/discuss it with other members. As we can see, member B1 disagrees 717 with the association created by member B2 at 12:49 (using a Disagree sentence opener) and 718 changes the relationship to aggregation instead. The change is then justified by using an 719Inform sentence opener at 12:53. 720



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Fig. 16 Part of the collaboration log of Pair A (control)



Fig. 17 Part of the collaboration log of Pair B (experimental)

Examples of collaboration feedback being useful for member B2 is at 12:25 where it 721 encourages him to make sure adequate elaboration is provided when he uses an empty 722 *Agree* sentence opener at 12:23. The student did not use an empty sentence opener from 723 that point on. The same student also created an association at 21:47 following the feedback 724 message received at 12:35 saying *Some relationship types (associations) in your individual 725 solution are missing from the group diagram. You may wish to share your work by adding 726 those association(s)/discuss it with other members. 727*

We also analyzed collaboration of another pair C from the control group who collaborated effectively compared with other pairs and also did well in the UML diagram. These students had a lower initial error rate on their learning curves. Figure 18 shows the probability of domain constraint violation for pair C. The data points show a regular rate decrease that is approximated by a power curve with an R^2 fit of 0.91, initial error rate onstraints over time. 730

Figure 19 shows an excerpt of the collaboration log of pair C. We have highlighted some 735 parts where getting feedback would have made the collaboration process more effective. 736 For instance, at 12:17 a collaboration message could have encouraged member C1 to be 737 more active in the chat area and at 12:55 to give an explanation and provide justification 738 after making changes to the shared diagram. As shown in Fig. 19, member C2 is more 739active in the chat area and is not making much contribution to the shared diagram, leaving 740 member C1 to make most of the changes. A feedback message could have encouraged him 741 to contribute more to the shared diagram. 742

Subjective analysis

742

The participants were given a questionnaire at the end of the session to determine their 744 perceptions of the system. Table 5 presents a summary of the responses. Seventy-three 745 percent of the control group and 41% of the experimental group were familiar with UML 746



Fig. 18 Probability of domain constraint violation for Pair CSpringer

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Fig. 19 Part of the collaboration log of Pair C (control)

modeling from lectures and some work, and the rest had previous experience only from the 747 lectures. Most of the participants (61% of control group and 78% of experimental group) 748 responded they would recommend the system to other students. 749

The mean responses when asked to rate how much they learned by interacting with 750COLLECT-UML were 2.8 and 3.5 for control and experimental groups respectively, on the 751scale of 1 (nothing) to 5 (very much). The students found the interface easy to learn and use 752(the mean responses were 3.4 and 3.0 for control and experimental groups respectively). 753The majority of participants said they needed 10-30 min to learn the interface and become 754comfortable using it. 755

Table 5 Mean responses from the user questionnaire for the		Control		Experimental	
evaluation study		Average	SD	Average	SD
	Amount learnt	2.8	0.9	3.5	0.7
	Enjoyment	2.8	1.1	3.3	1.0
	Ease of using interface	3.4	1.0	3.0	0.9
	Usefulness of partner	3.1	1.4	3.2	1.2
	Effect of working in groups	3.6	1.0	3.6	0.9
	Usefulness of task-based feedback	3.2	0.8	3.6	0.8
	Usefulness of collaboration-based feedback	-	-	3.6	0.7

Students were offered individualized and group feedback on their solutions upon756submission. The mean ratings for the usefulness of task-based feedback (given on their757UML diagrams) were 3.2 and 3.6 for control and experimental groups respectively, and the758mean rating for the usefulness of collaboration-based feedback was 3.6 for experimental759group.760

Fifty-five percent of the control participants and 59% of experimental participants had indicated that they would have liked to see more details in the feedback messages, whereas the rest of the participants mentioned that they had been provided with enough details and more details would have taken away the task of thinking/problem solving. Several participants asked for more problems to be included in the system. The comments we received on open questions show that the students liked the system and thought it improved their knowledge, and also pointed out several possible improvements. 761 762 763 764 765 766

Discussion

The results show that meta-constraints are an effective way of modeling collaboration. The 769students' declarative knowledge of collaboration increased after the study: the experimental 770 group (who received feedback on their collaboration) scored significantly higher when 771 asked to describe effective collaborative problem solving. The learning curves also prove 772 that student's domain knowledge increases, as they learn constraints during problem 773 solving. All participants performed significantly better on the post-test after short sessions 774with the system, suggesting that they acquired more knowledge in UML modeling. 775 Subjective evaluation shows that most of the students felt working in groups helped them 776 learn better and that they found the system to be easy to use. 777

The questionnaire responses suggested that most participants appreciated the feature of being able to view the complete solution and found the hints helpful. Responses showed that the participants found the problems challenging and enjoyed the user friendliness and learning support of the system. There were a few suggestions for further improvement. 781

There were other encouraging signs suggesting that COLLECT-*UML* was an effective 782 teaching tool. A number of students who participated in the study inquired about the 783 possibility of using COLLECT-*UML* after the study, for practicing UML modeling and 784 preparing for the exam. 785

Conclusions

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The paper discussed the design and implementation of COLLECT-UML, a CSCL 787 environment developed to teach students effective collaboration and UML modeling. We 788presented the system's architecture, interface and functionality. COLLECT-UML provides 789task-based feedback on students' and group solutions as well as collaboration-based 790feedback intended to make the collaboration process more effective. The collaborative 791feedback is provided by analyzing students' activities and comparing them to an ideal 792 model of collaboration. COLLECT-UML is one of the rare CSCL systems to provide both 793 domain-level feedback and feedback on collaboration. A significant contribution of the 794 reported work is showing that constraints can be used not only to represent domain 795 knowledge (and the student's model), but are also effective in representing models of meta-796 cognitive skills. 797 Computer-Supported Collaborative Learning

The system's effectiveness in teaching good collaboration and UML class diagrams was 798 evaluated in two classroom experiments. The results of both subjective and objective 799 analysis proved that COLLECT-UML is an effective educational tool: 800

- The experimental group students acquired more declarative knowledge on effective 801 collaboration, as they scored significantly higher on the collaboration test, with the 802 effect size of 1.3.
- The collaboration skills of the experimental group students were better, as evidenced 804 by these students being more active in collaboration, and contributing more to the 805 group diagram. The difference between the average number of individual contributions 806 for the control and experimental groups is statistically significant. 807
- 3. The experimental group pairs were more balanced in using the various communication 808 categories and had less off-topic conversations. 809
- All students improved their problem-solving skills: the participants from the both solving and experimental group performed significantly better on the post-test after short sessions with the system, showing that they acquired more knowledge in UML modeling.
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- 5. The students enjoyed working with the system and found it a valuable asset to their 814 learning. 814

Rummel and Spada's (2005) study shows that groups who collaborated more effectively 816 outperformed their control counterparts on knowledge about aspects of a good collaboration 817 and knowledge about important elements of the domain knowledge (therapy plan). In our 818 full evaluation study, the participants spent less than 1.4 h, on average, interacting with the 819 system and both control and experimental groups were provided with collaborative 820 problem-solving setting and domain-level feedback. Both groups were shown to improve 821 learning. More research is needed to investigate the effect of collaboration-based feedback 822 on learning the domain knowledge. 823

CBM has previously been used to effectively represent domain knowledge in several 824 ITSs supporting individual learning. The contribution of this research is the use of CBM to 825 model collaboration skills, not only domain knowledge. The results show that CBM is 826 indeed an effective technique for modeling and supporting collaboration in computer-827 supported collaborative learning environments. 828

Appendix: Given problem

Draw a UML class diagram for an online banking system. An account keeps track of 830 the balance (the number of cents owned by the customer). It also stores maximum 831 overdraft, a limit on how far the account may be overdrawn. Each customer is known 832 by his/her name and an e-mail address and has one or more accounts. They can deposit 833 and withdraw an amount of money, and can get balance of their accounts. A saving 834 account pays interest and records the interest rate. A checking account charges bank 835 fees and records the amount of fees charged. A fund account pays dividends. An 836 account may have a number of transactions. For each transaction made, the software 837 records the date and the amount. Assume that all the numbers, except for the interest 838 rate, are integers. 839

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